



CMM 10-2020 ANNEX 2¹

Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

This Implementation Report covers the reporting period **01 October 2022 to 30 September 2023**. (Notwithstanding, certain data requested may reference other timeframes as noted). **All Members/CNCPs**, regardless of whether their vessels engaged in activity in the SPRFMO Convention Area, are required to submit their Implementation report with the relevant sections completed (including “NIL”) no later than **90 days before** the start of the annual meeting.

REPORT DUE: 25 October 2023

Reporting Member/CNCP: **USA**

Date report submitted: 28/09/2023

Maximum Number of Potential Questions/Tables per CMM to Assess Obligations

CMM	Conservation and Management Measure	Nº of Questions/Tables
01-2023	<i>Trachurus murphyi</i>	8
02-2022	Standards for the Collection, Reporting, Verification and Exchange of Data	8
03-2023	Bottom Fishing in the SPRFMO Convention Area	19
03a-2023	Deepwater Species in the SPRFMO Convention Area	12
04-2020	Establishing a List of Vessels Presumed to have Carried Out IUU Activities in the SPRFMO Convention Area	12
05-2023	The Commission Record of Vessels Authorised to Fish in the SPRFMO Convention Area	6
06-2023	The Vessel Monitoring System in the SPRFMO Convention Area	30
07-2022	Minimum Standards of Inspection in Port	15
08-2023	Gillnets in the SPRFMO Convention Area	6
09-2017	Minimising Bycatch of Seabirds in the SPRFMO Convention Area	11
10-2020	Establishment of a Compliance and Monitoring Scheme in the SPRFMO Convention Area	2
11-2015*	Boarding and Inspection Procedures in the SPRFMO Convention Area (*Note: CMM 11-2023 doesn't take effect 1 October 2023)	15
12-2023	Regulation of Transshipment and Other Transfer Activities	11
13-2021	Management of New and Exploratory Fisheries in the SPRFMO Convention Area	8
14a-2022	Exploratory Fishing for Toothfish by NZ-Flagged Vessels in the SPRFMO Convention Area (NZ Only)	9
14b-2023	Exploratory Potting Fishery in the SPRFMO Convention Area (COK Only)	23
14e- 2023	Exploratory Fishing for Toothfish by European Union-Flagged Vessels in the SPRFMO Convention Area (EU Only)	13
15-2016	Vessels without Nationality in the SPRFMO Convention Area	2
16-2023	The SPRFMO Observer Programme	13
17-2022	Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area	10
18-2023	Management of the Jumbo Flying Squid Fishery	12
19-2021	Markings and Identification of Fishing Vessels (entered into force 01 Jan 2023)	6
TOTAL		251

¹ Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2020 (CMS).



CMM 01-2023 *Trachurus murphyi*¹

During this reporting period the United States of America did not conduct any activities covered by this CMM and did not have a 2022 CJM allocation

General Provisions

Para 1: Did your flagged vessels operate in the *T. murphyi* fishery in, or adjacent to (*including waters under National Jurisdiction*), the Convention Area or did any vessels land or tranship *T. murphyi* within your ports?

YES *If yes, complete the following tables and relevant questions on this CMM*

NO *If no, and you did not have CJM quota, skip to the next CMM. If you had CJM quota, update Table 1 (Catch Limit/Transfers) on the next page before proceeding to the next CMM.*

Cooperation in Respect of Fisheries in Adjacent Areas Under National Jurisdiction

Para 24: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2023 (*T. murphyi*) applies?

YES *If yes, please answer the questions below*

- Have you decided to apply the measures described in CMM 01-2023 (*T. murphyi*) paragraphs 12-24 within your national jurisdiction areas?

YES NO Partial

- Have you informed the Secretariat about conservation and management measures in effect for *T. murphyi* within your national jurisdiction areas (e.g., TAC resolutions)?

YES NO

Enter relevant details here

NO

Para 26: Are you a coastal State that has not given your express consent under Article 20 paragraph 4(a)(ii) of the Convention?

YES *If yes, please answer the questions below*

- Have you established domestic measures for catches of *T. murphyi* that may result in catches in the area under your national jurisdiction exceeding 98 168 tonnes?

YES NO *If no, continue to the next CMM*

Enter relevant details here

- If so, did you submit to the Secretariat a report explaining to the Commission how the national measures concerning the *T. murphyi* fishery in areas under your national jurisdiction are compatible with those adopted by the Commission?

YES NO

NO

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
paragraph 14 (required data, annual catch report) can be found within CMM 02-2022.
paragraph 16 (VMS) can be found within CMM 06-2023.
paragraph 19 (annual report) can be found within CMM 02-2022.
paragraph 20 (CMS) can be found within CMM 10-2020.



Catch Management, Data Collection and Reporting

Table 1: *Catch Management (Paras 4, 8) – *Note: Calendar Year Reporting for this table

Year	CJM catch limit	CJM catch limit after applying catch transfers	CJM catch total
2022			(Final 2022- Annual Catch Report)
2023			(Estimates from Monthly reports)

Para 7: Do you have additional measures that limit your vessels to catches less than the amount specified in Table 2 above?

- YES *If yes, please elaborate. Was the Secretariat advised?*
Enter relevant details here
- NO

Table 2: Data Collection and Reporting (Paras 11, 12, 13, 17, 19, 23)

Nº of monthly (and/or 15-day) reports provided	Lists of authorised, active and transhipping vessels provided?	Age-length and/or survey information provided to SC?	Observer coverage level
	YES <input type="checkbox"/> NO <input type="checkbox"/> Partial <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	

Para 21: Did you conduct any research or stock assessments for *T. murphyi* during this past year?

- YES *If yes, please answer the question below*
- Were they submitted to the Scientific Committee?
YES NO
- Enter relevant details here*
- NO

Para 22: Did any vessels land or tranship *T. murphyi* within your ports and did you take measures to verify those catches?

- YES
- NO



CMM 02-2022 Standards for the Collection, Reporting, Verification and Exchange of Data¹

During this reporting period the United States of America did not conduct any activities covered by this CMM; the United States submitted an annual report to Scientific Committee in fulfilment of the requirements of Paragraph 7.

Annual Reports *(requirement for all Members and CNCPs)*

Para 7: Did you submit an annual report to the Scientific Committee (at least one month in advance of the annual SC meeting) informing them about the fishing, research, management activities undertaken over the previous year (including all required sections)? *(NOTE: NIL report requirements if no activity carried out)*

YES

NO

Enter relevant details here

General

Did you have vessels in the Convention area during the reporting period and/or during the period January to December 2022 for which you have fisheries catch, landing, transshipment or observer data?

YES

NO

If YES, complete the remaining questions; if NO, proceed to the next CMM.

Data on Fishing Activities and the Impacts of Fishing

Para 1a: Did you collate annual catch totals raised to “live” weight for all species/ groups caught in the previous calendar year (as described in Annex 13) and provide this to the Secretariat prior to 30 September?

YES

NO

Partial

Enter relevant details here

Table 3: Participation in SPRFMO fisheries during this reporting period (Para’s 1b/c, 2b/c)

Fishing method	Activities undertaken?	Main species caught?	Nº of vessels	Activities observed? (Yes/No)
Demersal Hand/ drop/ dahn line	YES <input type="checkbox"/>			
Demersal Longline	YES <input type="checkbox"/>			
Demersal Potting	YES <input type="checkbox"/>			
Demersal Trawl	YES <input type="checkbox"/>			
Pelagic Purse seine	YES <input type="checkbox"/>			
Pelagic Trawl	YES <input type="checkbox"/>			
Squid jigging	YES <input type="checkbox"/>			
Transshipment	YES <input type="checkbox"/>			
Landings	YES <input type="checkbox"/>			
Reefer Landings	YES <input type="checkbox"/>			
<i>Other fishing methods or data (rows can be added if needed)</i>	YES <input type="checkbox"/>			

Para 1d: Did you compile and submit all required data on fishing activities and the impacts of fishing and provide those to the Secretariat using the SPRFMO data submission templates prior to 30 June?

YES

NO

¹ The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2023



Partial

Enter relevant details here

Observer Data

Para 2c Was the previous year's observer data (Jan – Dec) submitted to the Secretariat in Microsoft Excel format prior to 30 September?

YES

NO

Partial

Enter relevant details here

Data Verification

Para 4: Have you developed mechanisms to verify your SPRFMO fishery data?

YES

NO

Enter relevant details here

Data Exchange

Para 5: Have you reported the data relevant to the methods listed in Table 3 (previous page) to the Secretariat in accordance with the appropriate specifications and described formats and using the templates created by the Secretariat?

YES

NO

Partial

Enter relevant details here



CMM 03-2023 Bottom fishing in the SPRFMO Convention Area^{1,2}

During this reporting period the United States of America did not conduct any activities covered by this CMM.

Definitions

Para 2: Did your vessels engage (or intend to engage) in “bottom fishing” activities in the Convention Area?

YES *If yes, continue to complete the questions in this CMM*

NO *If no, respond to Para 10 below and then skip to the next CMM*

General Provisions

Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention Area, except when in accordance with this CMM together with CMM 03a-2023 (Deepwater species)?

YES

NO **N/A: US Vessels do not participate in bottom fishing activities in the Convention Area**

Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the SPRFMO Record of Vessels in accordance with CMM 05-2023 (Record of Vessels)?

YES

NO

Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in the Convention Area? (*mark all that apply*)

- **12. a)** Authorisation has been given by the Commission under Para 21(d)(i).

YES

NO

Comments:

No such authorization has been provided to any US vessel.

- **12. b)** Approval has been given by the Commission under paragraph 14 of CMM 13-2021 (exploratory Fisheries).

YES

NO

Comments:

Bottom Fishing Management Areas

Para 14: Did all the bottom fishing activity in the Convention Area by your authorised vessels occur within the three defined Management Areas (para 13) and in accordance with the terms of this CMM³ (together with CMM 03a-2023)?

YES

NO *Elaborate if activities occurred outside the management areas or contrary to the CMM*

¹ The implementation of paragraph 19 (Marine mammals, Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2022 and 09-2017 as appropriate.

² The implementation of paragraph 34 (benthic bycatch) can be found within CMMs 02-2022.

³ Excluding fishing covered by CMM 13-2021 (Exploratory Fisheries)



Para 16: Did you ensure that all your vessels complied with the following three provisions¹?

a) Bottom trawling shall only occur in a bottom trawl Management Area;

YES

NO

b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area;

YES

NO

c) Bottom lining shall only occur in a Management Area.

YES

NO

Assessment of Proposed Bottom Fishing

Para 21a: During this reporting period, did you submit to the Scientific Committee, not less than 60 days before the SC annual meeting, a Bottom Fishery Impact Assessment that met the appropriate standard (SPRFMO BFIAS) and included consideration of cumulative impacts and proposed mitigation measures to prevent such impacts?

YES

NO

Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph 21(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery may have changed?

YES *Enter relevant details here if applicable*

NO

Encounters with Potential VMEs

Para 27: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa above the specified thresholds?

YES *If yes, continue to answer questions below*

- How many Encounters occurred during this reporting period?

Enter relevant details here

- In each case, did the vessel cease bottom fishing immediately within the encounter area?

YES

NO

- In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?

YES

NO

NO

Para 30: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area pending any related Commission decision?

YES

NO

¹ Excluding fishing covered by CMM 13-2021 (Exploratory Fisheries)



Para 31: During this reporting period, did you submit any detailed descriptions of VME Encounters to the Scientific Committee (including suggested management actions) because of a temporary suspension pursuant to paragraph 27?

YES

NO

Monitoring and Control of Bottom Fishing Activities

Para 38a: Did you ensure that your vessels participating in bottom fishing:

- are equipped and configured so that they can comply with all relevant SPRFMO CMMs?

YES

NO

- act in accordance with CMM 06-2023 (Commission VMS), polling once every 30 minutes for the duration of the trip (*i.e.*, port to port VMS coverage)?

YES

NO

- report tow or set start and end position to 1/100th degree resolution - decimal format?

YES

NO

Observer Coverage

Para 39: What was your observer coverage level (during this reporting period) for:

- Vessels using bottom trawl?

Enter relevant details here

- Vessels using mid-water trawl gear?

Enter relevant details here

- Vessels using bottom line gear (expressed as the percentage of observed hooks)?

Enter relevant details here



CMM 03a-2023 Deepwater Species in the SPRFMO Convention Area

During this reporting period the United States of America did not conduct any activities covered by this CMM.

Interpretation

Para 2: Did your flagged vessels engage in “bottom fishing” activities pursuant to CMM 3a-2023 in the Convention Area? (Note: Report Exploratory Fisheries information separately under the respective CMM 14 measure)

YES If yes, complete the table below

NO If no, skip to the next CMM

Table 4: CMM 03a-2023 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, & 15)

Species - Area	2022 Catch Limits (t)	2022 Catch Limits after applying transfers	Final 2022 Annual Catch Totals
Orange Roughy – Tasman Sea	AUS 142; NZ 569		
Orange Roughy – Louisville Ridge	AUS 114; NZ 1 026		
Orange Roughy – Westpac Bank	AUS 13; NZ 245		
Orange Roughy – South Tasman Rise (HS)	AUS 0; NZ 0		
All other target and non-target species	AUS 211; NZ 762		
Species - Area	2023 Catch Limits (t)	2023 Catch Limits after applying transfers	Provisional 2023 Catch Totals
Orange Roughy – Tasman Sea	AUS 76; NZ 302		
Orange Roughy – Louisville Ridge	AUS 58; NZ 523		
Orange Roughy – Westpac Bank	AUS 13; NZ 245		
Orange Roughy – South Tasman Rise (HS)	AUS 0; NZ 0		
All other target and non-target species	AUS 211; NZ 762		
Number of Monthly reports provided in this reporting period (Oct 2022– Sept 2023)			

Catch Limits and Monitoring (Paras 16, 17 & 18)

Para 16: Did your vessels participating in bottom fishing reach 70% of the allocated tonnage (Para 16; Table 1)?

YES If yes, continue to answer questions below

- Was the catch limit (tonnage) reached for any area(s)?
 - YES If yes, elaborate
 - NO
- For each case, did the vessel(s) cease bottom fishing once the catch limit was reached?
 - YES If yes, elaborate
 - NO

NO



Para 17/18: Did your vessels have catches of Orange Roughy from any of the following Tasman Sea stocks:

1) Northwest Challenger; 2) Lord Howe Rise; or 3) West Norfolk Ridge?

YES *If yes, continue to answer questions below*

- To which of the above Stock Areas do the catches pertain (i.e., 1, 2, and/or 3)?

Enter relevant details here

- In each case, were weekly (or daily if applicable) catch reports submitted to the Secretariat?

YES

NO *If no, elaborate*

- In each case, was fishing effort by your vessels ceased once the Secretariat advised that the appropriate thresholds were reached to stop fishing for the remainder of the year?

YES

NO *If no, elaborate*

NO

Para 19/21: Did you notify the Secretariat of intention to carry forward under-caught **orange roughy** catch for a defined area to the next fishing year?

YES

If yes, continue to answer questions below

- Identify the amounts being carried forward (by defined area)?

Enter relevant details here

- Does the **total** carry forward amount exceed 10% of your allocated catch limit for the applicable year?

YES *If yes, elaborate*

NO *State the total carry forward %*

NO

Para 26: For your flagged vessels, was the Secretariat notified no less than 72 hours in advance of commencing fishing activities of the intent to fish in the Tasman Sea (*west of 178 E*) or the Westpac Bank areas?

YES *If yes, identify the number of trips (by vessel name)*

Partial *Elaborate or explain*

NO *Elaborate or explain*

Para 27: For your flagged vessels participating in bottom fishing, was the Secretariat notified of the monthly catches (in electronic format) within 20 days of the end of each month?

YES *If yes, identify the number of monthly reports submitted*

Partial *Elaborate or explain*

NO *Elaborate or explain*



CMM 04-2020 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

Information on alleged IUU Fishing activities

Para 2: Did you transmit a list of presumed IUU fishing vessels (along with suitably documented evidence relevant to activities in the SPRFMO Convention Area over the past 2 years) to the Secretariat at least 120 days before the annual meeting?

YES *If yes, continue to answer Para 4*

- **Para 4:** Did you inform the relevant flag State before, or at the same time as, transmitting the list?

YES

NO

The United States submitted a list on October 6, 2022, which is during the relevant reporting period for this report.

NO

Draft IUU Vessel List

Para 5: Were any of your flagged vessels on the draft IUU Vessel List?

YES *If yes, answer the following question (Para 7)*

- **Para 7:** Did you notify vessel owners and inform them about the potential consequences?

YES

NO

Enter relevant details here

NO

IUU Vessel List

Para 15: Were any of your flagged vessels on the final IUU Vessel List?

YES *If yes, answer the questions below*

- Did you notify the vessel owners and inform them about the consequences of inclusion?

YES

NO

- Were any measures were taken to eliminate these IUU activities and was the Commission informed of these actions?

YES

NO

Enter relevant details here

NO

Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2020 (IUU)?
(points a to i)

YES

The United States implemented the Port State Measures Agreement and can confirm that no vessels on the SPRFMO List of Vessels Presumed to have Carried Out IUU Fishing Activities in the SPRFMO Convention Area landed in our ports.

NO

Enter relevant details here



Modification of the IUU Vessel List

Para 21: Did you request the removal of any of your vessels from the IUU Vessel List, during the most recent intersessional period?

YES *If yes, elaborate below*

NO

Enter relevant details here

Action Against Nationals Involved in IUU Fishing Activities

Para 25: Did you take any measures against your nationals that have been identified as engaged in, responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities in the SPRFMO Convention Area?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 28: Did you take any other actions and/or measures in accordance with this CMM that have not previously been reported in this section?

YES *If yes, elaborate below*

NO

Information Indicating a Change of Circumstances of Vessels Appearing on the Current IUU Vessel List

Para 29: Did you transmit information which indicated a change in the details of a vessel (such as name International Radio Call Sign, External markings etc) of a vessel appearing on the SPRFMO IUU Vessel List to the Secretariat during this reporting period?

YES *If yes, elaborate below*

NO

Enter relevant details here



CMM 05-2023 The Commission Record of Vessels authorised to fish in the Convention Area

During the reporting period the United States of America did not have any vessels authorized in SPRFMO.

General

Para 1/3: Are you able to exercise effectively your responsibilities (including enforcement actions) for all vessels flying your flag, and authorised to fish in the Convention Area, in respect of such vessels under the Convention, including relevant CMMs adopted by the Commission, and in accordance with relevant international law?

YES

NO

Partial *If no or partial, elaborate below*

Enter relevant details here

Para 2: Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on any RFMO IUU vessel list or on the IUU vessel list of CCAMLR?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 4/5: Did you maintain a register of your flagged fishing vessels authorised to fish in the SPRFMO Area?

YES *If yes, answer the following question (Para 5)*

Does it contain all the mandatory information as described in Annex 1 of CMM 05 - Record of Vessels? Elaborate as necessary.

YES

NO

Partial

NO

Vessel Data Submission:

Table 5: Vessel Data Submission Summary (Para’s 4, 6, 7, 8)

Para 4 – Total N° of vessels authorised for SPRFMO on your vessel register during the reporting period?	Para 6 - N° of new vessels authorised during the reporting period? (15-day advance notification prior to first entry)	Para 7 - N° of notifications regarding modifications to vessel data submitted during the reporting period?	Para 8- N° of revoked, relinquished or invalid authorisations during the reporting period? (Notify no more than 3 days)	Authorisation/ Relinquishment/ Modification details submitted within the required timeframes? (Para 6/7 = 15 days; Para 8 = 3 days)
				YES <input type="checkbox"/> NO* <input type="checkbox"/>

*Elaborate on any issues:

List of Active Vessels

Para 13: Did you notify the Executive Secretary of your list of authorised vessels that are actively fishing or engaged in transshipment in the SPRFMO Convention Area (either monthly (for CJM) or prior to 30th January as appropriate)?

YES Please specify for the **2022 CALENDAR YEAR:**

- Number of Vessels actively fishing (Catcher vessels):
- Number of Vessels only engaged in transshipment activity:
- *Optional - Number of “other vessels” (e.g. bunker, research)*

NO



N/A We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period

CMM 06-2023 The Vessel Monitoring System in the SPRFMO Convention Area

The United States of America has a VMS Point of Contact designated but during the reporting period, no U.S. fishing vessels operated in SPRFMO.

Application: Commission Vessel Monitoring System

Para 2: Did any of your authorised vessels operate in the SPRFMO Convention Area or the adjacent buffer zone during the reporting period?

YES *If yes, continue to answer remaining questions in this CMM.*

In total, how many of your individual authorised vessels reported their movements and activities to the Commission VMS during the reporting period? _____

NO *If no, answer the following question*

Did you request access to Commission VMS data during the reporting period?

YES *Proceed to Paragraphs 13, 21, 24, 25, 27, 28*

NO *Proceed to the next CMM*

Purpose: Continuously Monitor the Movements and Activity of Fishing Vessels

Para 4:

Are your authorised vessels, operating in the SPRFMO Convention Area or the adjacent buffer zone, continuously reporting their positions?

YES

NO

VMS positional reports must be transmitted to, and displayed on, Commission VMS in a manner to ensure continuous monitoring of the vessels active in the SPRFMO Convention Area and 100 nm Buffer zone. Have you been advised of any disruption¹ to the Commission VMS display for any of your flagged vessels during the reporting period?

YES

NO

If VMS disruptions or data gaps were identified during the reporting period for vessels flying your flag, has the missing VMS positional data since been uploaded to complete the Commission VMS record?

YES

NO*

**The Secretariat will provide separately a summary of VMS issues identified for vessels flagged to that Member/CNCP. Comments can be provided in the separate summary sheet relevant to each issue including whether VMS data was recovered or an explanation of the situation.*

Nature and Specification of the Commission VMS

Para 9: Automatically reporting of VMS positional data to the Commission VMS for your authorised vessels is via which of the following options?

(a) To the Secretariat via your FMC; *(applies to most flag states)* or

¹ As per the direction from the COMM10 meeting, all VMS issues identified for a Member/CNCP will form part of the discussion at the Compliance Assessment process. The Secretariat will advise Members/CNCPs of VMS issues as they arise as well as provide an overall summary prior to the annual CTC meeting (including any comments/explanations).



(b) Simultaneously to both the Secretariat and your FMC



Para 11: If you have selected option 9 (a), did your FMC automatically forward VMS reports to the Secretariat at intervals of not less than one hour for all vessels that were active in the Area?

- YES
NO
Partial

Enter relevant details here

Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2023?

- YES
NO

Procedure for Manual Reporting

Para 14: (Note: applies in event of transmission failure originating from the Vessel)

Did the Secretariat notify you at any time of the event of the failure of automatic VMS reporting to the Commission VMS for any of your vessels during the reporting period?

YES *If yes, please answer the questions below*

- Upon follow up, were any of the failures/VMS disruptions due to the inability of the vessel to automatically report its VMS positional data from the vessel to the FMC?

YES *Answer following the questions pertaining to Annex 3 obligations*

NO *Proceed to paragraph 16*

CMM 06-2023 Annex 3 (Manual Reporting Rules):

- Para 1: Did you direct any of your vessels to apply the manual reporting procedure specified in Annex 3, of during this reporting period?

YES *If yes, please list vessel(s)/date here and answer questions below*

Enter relevant details here

NO

- Para 2: After commencing Manual reporting, were the manual reports provided to the Secretariat/updated to Commission VMS?

YES

NO

Enter relevant details here

- Para 3: After commencing Manual reporting, were you able to re-establish normal automatic reporting of VMS positions to the Commission VMS?

YES

NO *Elaborate*

Para 3: Was any vessel ordered to stop fishing, stow all fishing gear, and return immediately to port to undertake Repairs.

YES *Elaborate*

NO

NO



Security and Confidentiality Requirements

Para 13: Did you receive or access any VMS data from the Commission VMS during the assessed period?

YES *If yes, please answer the question below*

- Did you adhere with all the Security and Confidentiality requirements specified in Annex 2 of CMM 06-2023 as well as the Maintenance of Confidentiality Requirements of paragraph 6 of CMM 02-2022 (Data Standards)?

YES

NO *If no, please elaborate*

NO

Use and Release of VMS Data Requiring the Permission of the Member or CNCP

Para 21: Have you designated a VMS Point of Contact for the purposes of any communication regarding the VMS system to the Secretariat?

YES *If yes, please answer the question below*

- If so, is the VMS Point of Contact still current?

YES

NO *If no, please update the information*

NO *If no, please provide the information*

Use and Release of VMS Data not Requiring the Consent of the Member or CNCP

Para 24-25: Did you receive any VMS data from the Commission VMS for the purposes of planning or conducting active surveillance operations and/or inspections at sea?

YES *If yes, please answer the question below*

- Did you keep data confidential and restrict access to officials deemed necessary to know?

YES

NO *If no, please explain*

- Did you delete VMS data within 24 hours of the conclusion of the operation and notify the Secretariat in writing?

YES

NO *If no, please answer the questions below*

- If the VMS data was retained for longer than 24 hours, have you informed the Secretariat about the purpose and expected timing of the additional period of data retention?

Enter relevant details here or indicate Not Applicable

NO

Para 27: Following active surveillance and/or inspection activity relevant to the VMS data request, was the Secretariat and the relevant VMS Point of Contact provided with a report that included the name of the vessel or aircraft on active surveillance and/or inspection activity?

YES

NO *If no, please explain*

Para 28: Did you request VMS data for supporting search and rescue activities undertaken by a competent MRCC?

YES *If yes, answer the question below*

- Did you ensure that the data was only used for that purpose?

YES NO

NO



CMM 07-2022 Minimum Standards of Inspection in Port

Points of Contact

Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the Secretariat for the purposes of receiving notifications?

YES *Please update if there are any changes required to any of the contact information on the SPRFMO website*

NO

Scope

Para 1: Did your ports receive, or expect to receive, foreign fishing vessels carrying **SPRFMO-managed species caught in the Convention Area** that had **not** been previously landed or transhipped at port or at sea?

YES *If yes, continue to answer the following questions on this CMM*

NO *If no, skip to the next CMM*

Table 6: Implementation of Port Standards (Para’s 9, 11, 13, 15, 17, 19)

Designated port list provided to Secretariat?	Nº of foreign fishing vessels ¹ seeking to use port services	Nº of foreign fishing vessels denied port entry	Nº of inspections	Percentage of foreign fishing vessel landings / port transhipments inspected
YES <input type="checkbox"/> NO <input type="checkbox"/>				

Designated Ports

Para 8: Do you have sufficient capacity to conduct inspections in every designated port?

YES

NO

Enter relevant details here

Prior Notification

Para 11: Do you require foreign fishing vessels to provide information prior to any landing or port transhipment?

YES *If yes, answer the questions below*

- Does that information comply with paragraph 11 of CMM 07-2022 (Port Inspection)?
 - YES
 - NO *If no, please answer the question below*
- Did you, in your capacity as a port State, promptly inform the Secretariat of any request for port services made under this CMM?
 - N/A *(No Port Call Requests Received)*
 - YES Partial *Enter relevant details here*
 - NO *Enter relevant details here*

NO *Enter relevant details here*

Para 12: Is the notification period for a foreign fishing vessel’s port call request different than “at least 48 hours”?

YES *If yes, elaborate below*

NO

Enter relevant details here

¹ Limited to foreign fishing vessels carrying SPRFMO species caught in the SPRFMO Convention Area that have not been previously landed or transhipped at port, or at sea following the applicable SPRFMO Procedures.



Port Inspections

Para 16a): Did you receive any requests from other Members, CNCs or relevant RFMOs to inspect a particular vessel?

YES *If yes, elaborate below*

NO

Enter relevant details here

Inspection Procedure

Para 20: Did you encounter any issues or difficulties with the inspection procedures described in paragraphs 20-25 of CMM 07-2022 (Port Inspection)?

YES *If yes, elaborate below*

NO

Enter relevant details here

Procedure in the Event of Infringements

Para 26: Did any of your inspections uncover evidence that a foreign fishing vessel has committed a breach of the SPRFMO CMMs?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 27: Did any of your inspections uncover a breach that you (as a port State) acted on in accordance with your domestic laws?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 28: Did you, because of a port inspection, refer any alleged infringements to the relevant flag State so that they may promptly investigate?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 29: Were you authorised by another flag State to undertake an investigation in relation to alleged infringements detected because of your port inspection?

YES *If yes, elaborate below*

NO

Enter relevant details here

Requirements of Developing Members and CNCs

Para 31: Have you provided (or received) any assistance as described under Paragraph 31 of CMM 07-2022 (Port Inspection)? *(Such as the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCs)*

YES

NO

Enter relevant details here



CMM 08-2023 Gillnets in the SPRFMO Convention Area

During the reporting period the United States of America did not have any vessels fishing in the SPRFMO Convention Area.

Notwithstanding, the USA prohibits its vessels from conducting large-scale driftnet fishing (Reference 16 U.S.C. 1857(1)(M))

Gillnets

Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and deepwater gillnets in the SPRFMO Convention Area?
YES
NO
See text above about driftnet fishing prohibition.

Para 2: Have any of your vessels transited any part of the Convention Area while carrying gillnets?
YES *If yes, answer the questions 2a – 2d below*

- **Para 2a):** Was 72 hours advance notice given to the Secretariat prior to entry?
YES
NO
- **Para 2b):** Did the vessels operate a VMS polling at least once every hour?
YES
NO
- **Para 2c):** Were VMS reports submitted automatically to the FMC while transiting the Convention Area?
YES
 - Were the reports forwarded to SPRFMO FMC? YES NONO *Enter relevant details here*
- **Para 2d):** Were any Gillnets accidentally lost and/or abandoned overboard?
YES *Enter relevant details here*
 - If yes, was the lost reported reported to the Secretariate within 48 hours of the gear being lost using the ALDFG process?
YES NONO

NO



CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area¹

During the reporting period the United States of America did not have any vessels participating in SPRFMO managed fisheries.

General Provisions

Para 1 and 2: Do you have flagged vessels participating in SPRFMO fisheries using demersal Longlines or trawl gear?

YES *If yes, continue to answer the following questions on this CMM*

NO *If no, skip to the next CMM*

(Note: Complete only if your vessels conducted demersal Longline or Trawl Fishing in the Convention Area)

Fishing with Demersal Longlines

Table 7*: Seabird mitigation measures in Demersal Longline (Para 9 & Annex 1 para 1)

Biological discharge during shooting/hauling prohibited? (Annex 1, Para 1.a)	Identify the Seabird mitigation measures used in SPRFMO Area? (Annex 1, Para 1.b)	Observer coverage level ² ?	Nº of Seabird interactions?	Approx. Seabird mortality rate (per 1000 hooks observed)
YES <input type="checkbox"/> NO <input type="checkbox"/>				

**References the Reporting Period*

Annex 1, para 1b) ii: Have you maintained spatially and temporally appropriate observer coverage for the previous 5 consecutive calendar years at levels greater than 10% and recorded a seabird mortality rate less than 0.01 birds/ 1000 hooks?

YES *If yes, your vessels only needed to apply 1 measure; please answer questions below*

- Are any of your demersal longline vessels only required to apply one of the three specified mitigation measures (i.e., line weighting, bird scaring, setting at night)?
 - YES
 - NO
- During the reporting period have you maintained a minimum of 10% observer coverage over your demersal longline fleet?
 - YES
 - NO

NO

Annex 1 para 2: Have any of your demersal longline vessels exceeded a mortality rate of 0.01 birds/1000 hooks during the reporting period?

YES *If yes, answer the following question*

Annex 1, para 2a): Please list those vessels and state if, after the mortality event(s), whether these vessels applied at least one additional measure?

Enter relevant details here

NO

¹ When completing this section Members and CNCPs should consider paragraph 19 of CMM 03-2023, as relevant.

² Observer coverage focused on recording seabird bycatch; provided as a percentage of number of hooks.



Trawl Fishing

Table 8*: Seabird mitigation measures in Trawl Fisheries (Para’s 3, 9 & Annex 2 para 1, 2)

Nº of vessels that do not discharge Biological material? (Exempt from Mitigation Measures)	No. of vessels discharging Biological material? (Apply the Mitigation Measures)	Identify the seabird mitigation measures used in SPRFMO Area	Observer coverage level ¹	Nº of seabird interactions	Approx. seabird mortality rate (per tow observed)

*References the Reporting Period

Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive calendar years with less than 1 recorded mortality per vessel per year within your SPRFMO trawl fisheries?

YES *If yes, your vessels only needed to apply 1 measure; please answer questions below*

- Have you exempted any of your vessels from applying the specified mitigation measures (bird scaring lines, responsible discharge management, net cleaning, surface time minimisation)?

YES *If yes, please list the vessel(s) and identify the fisheries concerned*
Enter relevant details here

NO

- During the reporting period did any mortality events occur?

YES *If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied appropriate mitigation measures*
Enter relevant details here

NO

NO

Para 3: Did you have any trawlers which are exempt from applying seabird mitigation measures because they discharge no biological material?

YES *If yes, please list those vessels and stipulate when these vessel exemptions were last reviewed*
Enter relevant details here

NO

¹ Observer coverage focused on recording seabird bycatch; provided as a percentage of number of tows.



CMM 10-2020 Compliance Monitoring Scheme

(NOTE: This Section is Optional – if NIL response please proceed to next CMM)

Purpose

Para 1b: If applicable, please identify any areas in which you feel technical assistance or capacity building may be needed to assist Members and CNCPs to achieve compliance.

Enter relevant details here

Para 1c: If applicable, please identify any aspects of CMMs which you feel may require improvement or amendment to facilitate or advance their implementation.

Enter relevant details here



CMM 11-2015 Boarding & Inspection Procedures

(NOTE: CMM 11-2023 is not in effect until 1 October 2023 – i.e., the next reporting period.

This reporting period the assessment continues to be modelled on CMM 11-2015)

Para 1: The Commission's procedures on at sea inspection in the SPRFMO Convention Area shall be those contained in Articles 21 and 22 of the [1995 Agreement](#) (*Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks*).

General

Para 2: Did any of your flagged vessels fish in the SPRFMO Area during the Reporting period?

YES *If yes, please answer the questions below*

- Have you designated, and publicised through SPRFMO, an appropriate authority to receive Boarding and Inspection related notifications as described in Article 21.4 of the 1995 Agreement? (*please advise if any updates required*)

YES

NO

- Have you ensured that vessel masters comply with stipulations (a) through to (f) under Article 22.3 of the 1995 Agreement?

YES

NO

NO *If no, Proceed to next page (Article 21.3)*

Member's Vessels Inspected

Para 2: Were any of the vessels flying your flag inspected by a SPRFMO Contracting Party?

YES *If yes, please answer the questions below*

- Were there any cases in which there were clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?

YES *If yes, please answer the question below*

- If yes, how were the procedures described under Article 21.6 and 21.12 addressed? *Enter relevant details here*

- Did you encounter any problems?

YES NO *Enter relevant details here*

NO

NO



Conducting At-Sea Inspections

Article 21.3: Have you conducted any at-sea inspections in SPRFMO during the recent reporting period?

YES *If yes, please answer the questions below*

- **Article 21.4:** Have you informed the SPRFMO Secretariat of the form of identification issued to your duly authorised inspectors?

YES NO

- **Article 21.4:** Are your vessels used for boarding and inspection clearly marked and identifiable as being on government service?

YES NO

- Have you ensured that your inspectors observe all provisions under Articles 21.10 and 22.1 of the 1995 Agreement?

YES NO

Enter relevant details here

- How many at-sea inspections did you conduct?

Enter relevant details here

- **Article 21.5:** Following a boarding and inspection, have you had clear grounds for believing that a vessel has engaged in any activity contrary to the CMMs of the SPRFMO?

YES NO *If yes, please list all cases below and answer the following 2 questions*

Enter relevant details here

- **Article 21.8:** In any of these cases, did you have clear grounds for believing that a vessel has committed a serious violation in accordance with Article 21.11 of the 1995 Agreement?

YES NO

Enter relevant details here

- **Article 21.9:** Did you secure evidence and promptly notify the flag State of the alleged violation and the results of any further investigation?

YES NO

Enter relevant details here

- Did you encounter any problems?

YES NO *If yes, please provide details below*

Enter relevant details here

NO *If no, Proceed to next CMM*



CMM 12-2023 Transshipment and Other Transfer Activities

During the reporting period the United States of America did not have any vessels participating in transshipment activities in the SPRFMO Convention Area.

First Question Applies to All Members/CNCPs:

Have any of your authorised vessels conducted transshipments or other transfer activities (including at-sea transfer of fuel, crew, gear or other supplies) during the reporting period?

- N/A *Member/CNCP did not have any vessels in SPRFMO during the reporting period. Skip to next CMM*
YES *If yes, complete the following tables and questions on this CMM*
NO *If no, skip to the next CMM*

General Provisions for all Vessels Engaged in Fishing in the Convention Area

Paras 2: TRANSHIPMENTS: Were all transshipments at-sea and in-port undertaken between authorised vessels included in the Commission Record of Vessels at the time of the activity?

- YES
NO *Enter relevant details here*
N/A *(Select if your Vessels did not engage in transshipments)*

Paras 3: TRANSFERS: In the Convention Area, were at-sea transfers of fuel, crew, gear or any other supplies limited to only vessels on the Commission Record of Vessels at the time of the activity?

- YES
NO *Enter relevant details here*
N/A *(Select if your Vessels did not engage in at-sea transfers)*

<Only complete the rest of this CMM if your flagged vessels transhipped SPRFMO Fisheries Resources>

Paragraph 10: Standard Notification and Reporting

Did you provide all data relating to transshipment events such as such as notifications, observer logsheets, and operational details, to the Secretariat in a standardised format using the appropriate templates?

- YES
NO *Enter relevant details here*

Transshipments of Fisheries Resources Caught in the Convention Area

Paragraph 4:

Transshipment **7-day advance** notifications (*not applicable to Jumbo Flying Squid (GIS)*):

Were advance transshipment notifications of the 14-day transshipment period, sent to the Secretariat at least 7 days in advance, for all receiving vessels (Carriers) receiving SPRFMO resources (**non-GIS**)?

- N/A *Member/CNCP Flagged Vessels were not Carrier Vessels or only received Jumbo Flying Squid*
YES
NO *Enter relevant details here*

Paragraph 5:

Transshipment **12-Hr advance** notifications: Were advance transshipment notifications from all receiving vessels (Carriers) and from all unloading (Catcher) vessels, sent to the Secretariat **at least 12-hours before** the estimated time of the transshipment activity?

- YES
NO *Enter relevant details here*



Paragraph 9: Transshipment Operational Details:

Species other than Jumbo Flying Squid:
 Were the transshipment operational details sent to the Secretariat no later than 7 days after the transshipment was carried out (applies to both the receiving vessels (carriers) and the unloading (catcher) vessels)?

N/A *Member/CNCP Flagged Vessels only involved in transshipment of Jumbo Flying Squid*

YES

NO *Enter relevant details here*

Jumbo Flying Squid only:
 Were the transshipment operational details sent to the Secretariat within 20 days of the end of each quarter for which the transshipment was carried out. This applies to both the receiving vessels (carriers) and the unloading (catcher) vessels?

N/A *Member/CNCP Flagged Vessels not involved in transshipment of Jumbo Flying Squid*

YES

NO *Enter relevant details here*

Complete the following 3 tables (enter a Zero or Nil in the box if not applicable):

Table 9: Transshipments of *T. murphyi* caught in the Convention Area (Para’s 5, 6, 9)

Nº of transshipments in which your vessels unloaded <i>T. murphyi</i> catch	Nº of transshipments in which your vessels received <i>T. murphyi</i> catch	How many of the <i>T. murphyi</i> transshipments were observed?

Please list the vessels that conducted transshipments of *T. murphyi* during the reporting period
Enter relevant details here or attach separate list

Table 10: Transshipments of jumbo flying squid caught in the Convention Area (Para’s 5, 6, 9)

Nº of transshipments in which your vessels unloaded jumbo flying squid?	Nº of transshipments in which your vessels received jumbo flying squid?	How many of the jumbo flying squid transshipments were observed?

Please list the vessels that conducted transshipments of *jumbo flying squid* during the reporting period
Enter relevant details here or attach separate listing.

Table 11: Transshipments of demersal species¹ caught in the Convention Area (Para’s 5, 6, 9)

Nº of transshipments in which your vessels unloaded demersal catch	Nº of transshipments in which your vessels received demersal catch	How many of the demersal transshipments were observed?

Please list the vessels that conducted transshipments of *demersal species* during the reporting period
Enter relevant details here or attach separate listing.

¹ Demersal species generally live on or near the ocean floor. Jumbo flying squid (GIS) in SPRFMO is **not** considered to be a demersal species.



CMM 13-2021 Exploratory Fisheries

During the reporting period the United States of America did not have any vessels participating in Exploratory Fisheries in the SPRFMO Convention Area and are not seeking to pursue an Exploratory fishery.

Interpretation

Para 4: Are any of your vessels currently permitted to fish in an approved SPRFMO exploratory fisheries?

YES

NO

If Yes: did the fishing activities for your flagged vessels conform with the “Fishing Activity” requirements outlined in Para 18 (*abide to the Operational Plan*), Para 19 (*completed Data Collection Plan was submitted*), and Para 20 (*Independent Observers assigned to collect data*)

YES

NO (*elaborate*)

Requirements for Exploratory Fisheries

Para 5: Are you seeking to permit a vessel(s) under your flag to fish in an exploratory fishery?

YES *If yes, answer the following questions*

- **Para 5a:** Have you submitted an application to the Commission in respect of these activities?
YES NO
- **Para 5b:** If have you submitted a Fisheries Operation Plan to the Scientific Committee in respect of these activities?

YES NO

(If YES, answer the following 2 questions)

- Was the succinct description of the intended Fisheries Operational Plan for **information purposes** submitted no less than **120 days** prior to the next Annual Meeting of the Scientific Committee.

YES NO

- Was the **full** Fisheries Operational Plan submitted no less than **60 days** in advance of the next Annual Meeting of the Scientific Committee.

YES NO

NO

Fishing Activity

Para 17: During the reporting period did any of your flagged vessels fish in an exploratory fishery (*as defined in Paragraph 4- referenced below*) without approval from the Commission?

YES

NO

Para 4: For the purposes of this CMM, a fishery is an “**exploratory fishery**”:

- a) If it has not been subject to fishing in the previous ten years; or
- b) For the purposes of fishing with a particular gear type or technique, if it has not been subject to fishing by that particular gear type or technique in the previous ten years; or
- c) If fishing in that fishery has been undertaken in the previous ten years pursuant to this CMM, and a decision has not yet been taken in accordance with paragraph 25 or 26 of this CMM to either close or manage the fishery as an established fishery; or
- d) If it is of a kind listed in paragraph 15 of CMM 03-2023 (*Bottom Fishing*).



CMM 14a-2022 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

(This CMM is only applicable to New Zealand; all other Members and CNCPs can move to the next CMM).

This CMM is not applicable to the United States of America

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred in total during 2023? ____
 Of these, how many trips occurred between August and October (post-spawning)? ____
Enter relevant details here
 NIL If "nil" then skip to the next CMM

Para 5: Was the exploratory fishing for toothfish all conducted within the eight exploratory fishing research blocks (refer L, M, N, O, P, Q, R, and S in Table 1 of CMM14a-2022)?
 YES
 NO

Table 12: Total Allowable Catch* (Paras 8, 9e, 9f and 9h)

Authorised Vessel	Exploratory fishing block	Post spawning Catch (Aug - Oct)	Non post-spawning Catch
<i>San Aspiring</i>	L		
	M		
	N		
	O		
	P		
	Q		
	R		
<i>Janas</i>	S		
	L		
	M		
	N		
	O		
	P		
	Q		
R			
S			

* 40t max per exploratory research fishing block; overall maximum combined catch limit of 240t/yr; 50% max taken outside Aug to Oct.

Table 13: Total Allowable Catch – Effort Limit* (Paras 9a and 9c)

Exploratory fishing block	Total number of Clusters	Number of Sets
L		
M		
N		
O		
P		
Q		
R		
S		

* Conduct at least 3 clusters for each research block, with each cluster no more than 5 sets.



Table 14: Management Measures (Para’s 9, 16 and 18)

Protocol	Value	Reference
Other than the 2 vessels named, did any additional vessels fish? (<i>Identify vessel</i>)		Para 13
Number of clusters composed of more than 5 sets		Para 9a
Number of clusters within 10nm of another cluster? (<i>within the same voyage or fishing season</i>)		Para 9b
Number of sets beginning, or ending, deeper than 2,200 m?		Para 9d
Number of sets with more than 6,900 hooks?		Para 9a
Number of clusters with more than 17,250 hooks?		Para 9a
Number of toothfish tagged		Para 16b
Minimum tagging size overlap statistic		Para 16b
Number of occasions where over 250 kg of Deepwater sharks (<i>class Chondrichthyes</i>) were caught within a cluster of sets?		Para 18

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 22: Was there any occasion when any of the mitigation methods described in paragraph 21 a) to i) were not employed as appropriate?

YES *If yes, describe below*
Enter relevant details here

NO

Table 15: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

Paragraph 22 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during setting		Para 22a
Number of Seabird/Marine mammal abundance counts taken during hauling		Para 22a
% of hooks hauled that were observed for incidental captures		Para 22c
Were set and haul operations video recorded with multi-camera EM system		Para 22d
Number of captures of Marine Mammals		Para 22e
Number of captures of Seabirds		Para 22e
Number of captures of Turtles		Para 22e
Number of captures of other Species of Concern		Para 22e
Number (%) of dead birds retained for ID and necropsy		Para 22 f

Monitoring

Para 24: Was a New Zealand government observer onboard during the exploratory fishing?

YES *If yes, answer the following question*

- Was there a dedicated assistant available?
 YES NO

NO

Para 25: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the New Zealand Ministry for Primary Industries?

YES

NO

Para 26: Was the vessel equipped with at least 2 tamperproof ALCs meeting SPRFMO standards for VMS reporting?

YES

NO



CMM 14b-2023 Exploratory Potting Fishery in the SPRFMO Convention Area¹

(This CMM is only applicable to the Cook Islands; all other Members and CNCs can move to the next CMM).

This CMM is not applicable to the United States of America

Objectives

Para 1: Did your vessels conduct exploratory pot fishing for lobster and/or crab during the reporting period?
 YES
 NO *If "no" skip to the end (para 30)*

Details and Specification of Exploratory Fishing Activities (Para 5 and 6)

Para 5: Did all the fishing use the bottom potting method?
 YES *If yes, answer the following question*

- Was it all conducted within the exploratory areas specified in Para 5 - Table 1 of this measure?
 YES
 NO

NO *If no, elaborate*

Para 6: Was any fishing carried out in the Kopernik Valley (*as per coordinates in Para 6 - Table 2*) below depths of 250 metres?
 YES *If yes, elaborate*
 NO

Table 16: Details and Specification of Exploratory Fishing Activities (Paras 5, 8 and 10)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 5
The most lines set in a single day		Para 8
The most lines hauled in a single day		Para 8
The most traps used per line on a single day (Lobster fishing)		Para 8
The most traps per line on a single day (Crab fishing below 300m)		Para 8
Was the CPUE limit of 4 Kg per trap applied (and assessed) for the Kopernik Seamount		Para 10
Was the CPUE limit reached, and the Kopernik Seamount closed		Para 10

Para 9: Did the vessel test alternative trap designs to evaluate gear selectivity?
 YES *Enter relevant details here*
 NO

Para 11: Did any fishing trip on the Kopernik Seamount exceed the 20t TAC for *Jasus caveotum*?
 YES *Enter relevant details here*
 NO

Para 12: Did any lobster fishing occur during the July - September period?
 YES *Enter relevant details here*
 NO

Para 12: Was lobster retained onboard while fishing other target species during the July - September period?
 YES *Enter relevant details here*
 NO

¹ The implementation of paragraph 29 (VMS) can be found within CMM 06-2023.
 The implementation of paragraph 22 (Data collection) can be found within CMM 02-2022.



Para 13: What was the tonnage of lobster and crab (combined) caught during the reporting period (effective start date from 01 July 2022 to 30 Sept 2022)? _____

(Note: Unless the amount is 300t or more this amount will carry forward to next report to capture the full "July to June" fishing year as per the CMM)

Para 14: Did the vessel conducting the exploratory fishery provide the Member with weekly reports on its fishing activity?

YES *Enter relevant details here*

NO

Para 16a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?

YES *Enter relevant details here*

NO

Para 17: Did the Member ensure that the fishing vessel authorised had experience working within catch limits and used intensive monitoring of all catch?

YES *Enter relevant details here*

NO

Authorised Vessels

Para 19: Which vessel(s) were authorised and undertook the exploratory potting?

Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 24: Were all the mitigation methods specified in paragraph 24 a)-d) implemented during the exploratory fishing?

YES

NO *Enter relevant details here*

Para 25: Was the information described in paragraph 25 a)-e) relating to marine mammals, seabirds, turtles, and other species of concern collected?

YES

NO *Enter relevant details here*

Monitoring & Data Collection

Para 27: Were there Cook Islands' Government observer(s) on board the vessel during the exploratory fishing?

YES *Enter relevant details here*

NO

Para 28: Was there a video monitoring and recording system installed over the hauling position and was the footage provided to the Cook Islands Government at the end of each voyage?

YES

NO *Enter relevant details here*

Exploratory Fishing Proposal (Amended)

Para 30: Did the Cook Islands present a full and comprehensive amended exploratory fishing proposal to the 11th meeting of the SPRFMO Scientific Committee as described in para 30 including points a) to d)?

YES

NO *Enter relevant details here*



CMM 14e-2023 Exploratory Fishing for Toothfish by European Union Vessels in the SPRFMO Convention Area

(This CMM is only applicable to the European Union; all other Members and CNCPs can move to the next CMM).

This CMM is not applicable to the United States of America

Duration, catch and effort limits

Para 6: Did authorised EU vessels engage in exploratory toothfish fishing pursuant to this CMM during the reporting period (01 October 2022 and 30 September 2023)?

1) 2022 Fishing Season: Fishing in the portion between 01 and 31 October 2022?
 YES
 NO

2) 2023 Fishing Season: Fishing in the portion between 1 May 2022 and 31 October 2023?
 (Note: Activity occurring between 01-15 November 2023 can be reported in the next implementation report).
 YES
 NO

Para 5: Was all exploratory toothfish fishing conducted within the George V Fracture Zone Research Block? (refer to coordinates in Table 1)?

YES
 NO If no, please elaborate

Table 17: Duration, catch and effort limits

(Given the uniqueness of covering 2 seasons the reported information is separated by fishing season in this report for ease of reference and completion)

2021 Fishing season report (No fishing occurred May-Sept 2021)	Value	Reference
Total number fishing days (1 Oct to 15 Nov 2021) (Max 60)		Para 6
Greenweight catch of Toothfish (tonnes) (Max 75t)		Para 7
Number of hooks used per single set (Max 5000)		Para 8
Total number of sets per annum (Max 120)		Para 8
Number of times shorter lines used due to approach quota limit		Para 9
Minimum depth fished (Between 600-2500m)		Para 10
Maximum depth fished (Between 600-2500m)		Para 10
2022 Fishing season report (1 May – 31 October, 2022)*	Value	Reference
Total number fishing days (Max 60)		Para 6
Green weight catches of Toothfish (tonnes) (Max 75t)		Para 7
Number of hooks used per single set (Max 5000)		Para 8
Total number of sets per annum (Max 120)		Para 8
Number of times shorter lines used due to approach quota limit		Para 9
Minimum depth fished (Between 600-2500m)		Para 10
Maximum depth fished (Between 600-2500m)		Para 10

*If data is not available for October, please report to 30 September

Management Measures

Para 12: Were all hooks uniquely marked indicating the vessel and fishing campaign and the Executive Secretary notified before the beginning of the trip?

YES



NO If no, please elaborate

Authorised Vessels

Para 13: Was the FV *Tronio* used to conduct the exploratory fishing activity?
 YES
 NO
 If NO, what was the name of the vessel that was authorised to undertake fishing pursuant to this CMM and was the Executive Secretary notified?
 Enter relevant details here

Table 18: Data collection

2021 Fishing Season report	Value	Reference
Number of toothfish tagged		Para 16
Overlap tagging statistic achieved		Para 17
Were recaptured tagged fish retained?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 18
2022 Fishing Season report	Value	Reference
Number of tooth fish tagged		Para 16
Overlap tagging statistic achieved		Para 17
Were recaptured tagged fish retained?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 18

Marine Mammals, Seabirds, and other Species of Concern

Para 20: Was there any occasion when any of the mitigation methods described in paragraphs 20 a) to k) were not employed as appropriate?
 YES If yes, please describe
 NO

Table 19: Mitigation measures* (Para 20)

Protocol	Value	Reference
1) Seabird mitigation measures:		Para 20 a-g
Did the vessel release weights before line tension occurred	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20a
Was offal dumped or discards while fishing lines were being set or hauled	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20b
Were the other discarding protocols followed	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20c,d,e
Were two bird scaring devices deployed when setting lines	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20
Was at least one bird exclusion device used	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20f
Was the trigger level of 0.01 birds per/1000 hooks exceeded?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20g
2) Seal and Cetacean bycatch mitigation measures:		Para 20h
Was there any seal or cetacean bycatch/entanglement?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20h
If yes, was the one-hour observation period conducted prior to more hauls	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20h
3) Shark, skate and macrourid mitigation measures:		Para 20 i-k
Was the “move-on” provision relating catches of more than 4 individuals from <i>Somniosidae</i> , <i>Lamnidae</i> , <i>Cetorhinidae</i> or <i>Alopiidae</i> family triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20i
Was the “move-on” provision relating to catches of more than 2 individuals of any one of these families of sharks triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20i
Was the “move-on” provision relating to skate bycatch triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20j
Was the “move-on” provision relating to <i>Macrourus</i> Bycatch triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 20k

*If necessary, add any clarification/explanation of exceptions to mitigation measures here (or add separate attachment):



Table 20: Data collection for marine mammals, seabirds, and other species of concern (Para 21)

2021 Fishing Season: Paragraph 21 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 21a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 21a
% of hooks hauled that were observed for marine mammal, seabird and turtle interactions		Para 21b
Number of captures of Marine Mammals,		Para 21c
Number of captures of Seabirds (excluding collisions)		Para 21c
Number of captures of Sharks		Para 21c
Number of captures of Skates		Para 21c
Number of captures of other Species of Concern		Para 21c
Number of Seabirds collisions with vessel		Para 21c
Number of Seabirds released alive		Para 21c
Number of dead birds retained		Para 21d
2022 Fishing Season: Paragraph 21 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 21a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 21a
% of hooks hauled that were observed for marine mammal, seabird and turtle interactions		Para 21b
Number of captures of Marine Mammals,		Para 21c
Number of captures of Seabirds (excluding collisions)		Para 21c
Number of captures of Sharks		Para 21c
Number of captures of Skates		Para 21c
Number of captures of other Species of Concern		Para 21c
Number of Seabirds collisions with vessel		Para 21c
Number of Seabirds released alive		Para 21c
Number of dead birds retained		Para 21d

VME

Para 22: Was there evidence of any VME encounter during fishing activities?
2022 Fishing Season: YES NO **2023 Fishing Season:** YES NO

Monitoring

Para 23: Was a scientific observer onboard during the exploratory fishing?
2022 Fishing Season:
 YES *if yes, please answer the question below*
 • Was there a dedicated assistant available? YES NO
 NO
2023 Fishing Season:
 YES *if yes, please answer the question below*
 • Was there a dedicated assistant available? YES NO
 NO

Para 26: Did the vessel use a tamper-proof electronic monitoring system (CCTV) to record sensor and video data, overlaid with accurate GPS and timestamp on video records, to monitor all setting and hauling activity?
2022 Fishing Season: YES NO **2023 Fishing Season:** YES NO

Environmental Data Collection

Para 27: Did the vessel record additional environmental data including *in situ* imagery of seabed species and habitats, and CTD (conductivity, temperature, depth) sensors deployed on longlines?
2022 Fishing Season: YES NO **2023 Fishing Season:** YES NO



CMM 15-2016 Vessels Without Nationality

(Non-mandatory: Members, CNCPs, and non-parties are encouraged to take the following measures or actions.)

Response: Under the Port State Measures Agreement Act of 2015, the United States prohibits the use of its ports by vessels without nationality (see 16 U.S.C. 7404(c)).

Para 2: During the reporting period did you share any information about the activities of vessels without nationality, and/or where appropriate, enact domestic legislation, to prevent and deter vessels without nationality from engaging in fishing or fishing related activities in the Convention Area?

YES *If yes, please provide additional details*

Enter relevant details here

NO

Para 3: During the reporting period did you take any actions, in accordance with international law, against vessels without nationality? (e.g. by prohibiting landing, transshipment or access to port services).

YES *If yes, please provide additional details*

Enter relevant details here

NO



CMM 16-2023 the SPRFMO Observer Programme¹

During the reporting period the United States of America did not have any vessels participating in SPRFMO managed fisheries.

Observer Program Accreditation *(Information Collection)*

Do you currently have an accredited SPRFMO Observer Programme (*i.e.*, the observer program has been evaluated by the Accreditation Evaluator and the Commission has granted accreditation)?

- YES *If applicable, continue to General Rules Section below*
NO *If NO respond to Paragraph 24*

Evaluation of observer programmes *(Information Collection)*

Para 24: Do you intend to pursue accreditation under the SPRFMO OP?

- YES *If yes, please indicate when you intend to begin the accreditation process?*
 2023 (*We are currently being assessed by the SPRFMO OP Accreditation Evaluator*)
 2024 (*Note: effective 1 Jan 2025 observers must be from accredited programs*)
 Other timeframe (please specify): ____
- NO **We have no plans to deploy observers in the SPRFMO Area*

**Comments:*

NOTE: Complete the following sections if you had vessels fishing for fisheries resources in the Convention Area during the reporting period for which a minimum level of observer coverage applies (Para 3), otherwise proceed to the next CMM.

General Rules

Para 2: Did you deploy any observers (to facilitate the collection of verified scientific data and additional information related to fishing activities and its impacts on the ecosystem in the Convention Area)?

- YES
NO *If NO, Skip to the next CMM; no further information required in this section*

Para 6: Have you ensured that that observers from your national observer programmes or alternative programme have been granted their rights and perform their duties as set out in Annex 1 of the CMM?

- YES
NO

Para 7: Have you ensured that your owners and fishing operators, vessel captains, officers, and vessel crews:

- respect the rights of observers set out in Annex 1 of the CMM?
YES
NO
- comply with the standards and duties set out in Annex 2 of the CMM?
YES
NO

¹ The implementation of paragraphs 3 (Observer coverage) and 13 (Levels of coverage) are within the relevant fishery CMM. The implementation paragraph 44 (Data collection) can be found within CMM 02-2022

The implementation of paragraph 8 (independent and impartial observers) and paragraphs 23-43 (Evaluation of observer programmes) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator



Deployment of Observers

Para 10: Were the observers you deployed sourced from a 1) national observer programme or 2) SPRFMO accredited programmes¹?

Specify:

Para 11: Did you deploy any observers on board vessels flagged to another Member or CNCP?

YES *If yes, please list the others Members/CNCPs involved*
Enter relevant details here

NO

Para 12: Did any of your observers refuse a deployment onboard a fishing vessel during the reporting period?

YES *If yes, please specify reason(s):*

NO

Levels of Coverage

Para 14: Did any of your scientific research vessels fish for research purposes in the Convention Area during the reporting period?

YES *If yes, please answer the question below*

- Did the scientific personnel collect and provide the information specified in Annex 7 of CMM 02-2021 (Data Standards) in the manner set forth in that CMM?

YES NO

NO

Reporting

Para 16 & 46: Did you include a brief overview of your national observer programmes (or service providers) as a component of your Annual National Report submitted to the Scientific Committee?

YES *If yes, please answer the question below*

- Did you document the methods used to allocate observers on fishing vessels to meet observer coverage requirements?

YES NO

NO

¹ Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2024.



CMM 17-2022 Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area

During the reporting period the United States of America did not have any vessels participating in SPRFMO managed fisheries.

Applicability

Did any of your flagged vessels operate in the SPRFMO Convention Area during the reporting period?

YES

NO *If no, skip to the next CMM*

Retrieval of Abandoned, Lost or Otherwise Discarded Fishing Gear

Para 1: Please explain how you ensure that paragraphs 1a to 1f are implemented by your vessels:

Enter relevant details here

Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?

YES *Number of Notifications submitted: _____*

NO

Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?

YES *Number of Notifications submitted: _____*

NO

Marine Plastic Pollution

Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastics?

YES

NO

Enter relevant details here

Other Marine Pollution *(Note: Non-Mandatory provisions)*

Para 6: Have you implemented appropriate onboard storage and prohibited your fishing vessels operating within the SPRFMO Convention Area from discharging: oil or fuel products or oily residues into the sea; other garbage, including fishing gear, food waste, domestic waste, incinerator ashes and cooking oil; and sewage, except as would be permitted under applicable international instruments.

YES

NO

Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?

YES

NO

Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?

YES *If yes, continue to answer question below*

- Was information derived from these efforts submitted to the SPRFMO Scientific Committee and/or the Compliance and Technical Committee?

YES NO

NO

Para 9: Have you conducted training and awareness programmes for the crew and master of fishing vessels flying your flag regarding the impacts of marine pollution and operational practices to eliminate marine pollution caused by fishing vessels?



YES

NO

CMM 18-2023 Management of the Jumbo Flying Squid Fishery in the SPRFMO Convention Area¹

During the reporting period the United States of America did not have any vessels participating in SPRFMO managed fisheries.

General Provisions

Para 1: Did your flagged vessels engage in, or intend to engage in, the *Dosidicus gigas* (jumbo flying squid) fishery in the Convention Area? (Includes catcher and carrier vessels)

YES

If yes, complete the following tables and questions on this CMM

NO

If no, skip to the next CMM

Para 2: Did any of your flagged vessels engage in the *Dosidicus gigas* fishery in the Convention Area without being duly authorised pursuant to Article 25 of the Convention and included on the Commission Record of Vessels?

YES

If yes, please provide details

NO

Management of Jumbo Flying Squid

Para 3: China, Korea and Chinese Taipei:

Were the vessel numbers and/or gross tonnage limitations set out in Table 1 of the measure exceeded?

YES

NO

Data Collection and Reporting

Para 9: Have you collected, verified, and provided all required data to the Executive Secretary in accordance with CMM 02-2022 (Data standards) using the appropriate templates?

YES

NO

If no, please explain

Monitoring and Control

Para 11: Have you implemented the Commission VMS requirements (CMM 06-2023) for all flagged vessels engaged in the *Dosidicus gigas* fishery?

YES

NO

If no, please explain

Para 12: (2 components)

Have you provided the Executive Secretary a list of vessels **authorised to fish** in the *Dosidicus gigas* fishery?

YES

NO

¹ The questions for this CMM are specific to the Jumbo Flying Squid fishery. The implementation of the following paragraphs can also be found more broadly (all species/activities) within the corresponding CMMs: paragraphs 2 (vessel duly authorised) and 12 (active vessels)-Reference: CMM 05-2023; paragraphs 9 (Data collection) and 14 (annual reports)-Reference: CMM 02-2022; and paragraph 11 (VMS) Reference: CMM 06-2023.



Have you notified the Executive Secretary of the vessels that have **actively fished** or **engaged in transshipment** in the *Dosidicus gigas* fishery in the Convention Area within 30 days of the end of the calendar year?
YES NO

Scientific Committee Reports

Para 14: Did you submit your annual report including your *Dosidicus gigas* fishery information to the Executive Secretary at least one month before the most recent Scientific Committee meeting?
YES
NO *If no, please explain*

Para 15: Did you conduct any research or stock assessments for *D. gigas* during the reporting period?
YES *If yes, please answer the question below*
• Was it submitted to the Scientific Committee?
YES NO
Enter relevant details here
NO

Observer coverage

Para 16: What was your observer coverage level on Jigging vessels during this reporting period?
(Complete one of the following)

Number of full time at-sea observers	Percentage of fishing days observed

(Minimum coverage of 5 full time at-sea observers OR 5% of fishing days)

Special Requirements of Developing States

Para 17: Did you provide financial, scientific and/or technical assistance to enhance the ability of developing States and territories and possessions to implement this CMM?
YES *If yes, please describe the assistance*
NO



CMM 19-2021 Marking and Identification of Fishing Vessels

(This Measure entered into force on January 1, 2023)

During the reporting period the United States of America did not have any vessels participating in SPRFMO managed fisheries.

From 1 January 2023, did you have authorised vessels operating in the Convention Area?

YES

NO

If NO, you have finished the Implementation report.

Para 1: Commencing 1 January 2023, were your authorised vessels, operating in the Convention Area, marked in such a way that they can be readily identified, with markings prominently displayed at all times consistent with the specifications as outlined in Para 1, points a,b,c?

YES

NO *If no, elaborate.*

Para 2: Was the placement of the markings as high as possible above the waterline on both sides and placed so as not to be obscured in part or in full by the fishing gear (stowed or in use) and not to extend below the waterline at any time?

YES

NO *If no, elaborate.*

Para 3:

Did the vessel markings for the international Radio Call Sign (IRCS) meet the technical specifications outlined in Paragraph 3, points a) through l) inclusive?

YES

NO *If no, elaborate.*

Para 4: Have you ensured that your authorised vessels only have external markings as identified and permitted in this CMM?

YES

NO *If no, please explain*

Para 5: Have you provided the marking and identification information for your authorised vessels to the Executive Secretary as part of the information required for the Commission Record of Fishing Vessels under CMM 05-2023 (Record of Vessels)?

YES

NO *If no, please explain*

**PLEASE RETURN YOUR COMPLETED IMPLEMENTATION REPORT TO THE SECRETARIAT
ON OR BEFORE 25 OCTOBER 2023.**
