



CMM 10-2020 ANNEX 2¹

Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

This Implementation Report covers the reporting period 01 October 2024 to 30 September 2025.

Notwithstanding, certain data requested may reference calendar year or other timeframes as noted. All Members/CNCPs, regardless of whether their vessels engaged in activity in the SPRFMO Convention Area, are required to submit their Implementation report with the relevant sections completed (including "NIL" responses). To facilitate processing and follow-up reports are requested by:

Wednesday, 05 November 2025

Reporting Member/CNCP: United States of America

Date report submitted: 31/10/2025

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¹ Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2020 (CMS).



CMM 01-2025 *Trachurus murphyi*¹

During this reporting period the United States of America did not conduct any activities covered by this CMM and did not have a 2023 Jack Mackerel allocation.

General Provisions

Para 1-2: Did your flagged vessels (including carrier vessels) participate in the *T. murphyi* fishery in, or adjacent to (including waters under National Jurisdiction), the Convention Area; **OR** Did any vessels land or tranship *T. murphyi* within your ports?

YES If yes to either, complete all the following tables and relevant questions for this CMM

NO If no, complete **Para 4 / update Table 1** (below) and proceed to the next CMM.

Catch Management

Para 6: Are you a Member/CNCP with an identified share of the total catch of *Trachurus murphyi* as per the tonnages set out in Table 1 of this CMM?

YES If yes, update Table 1 below identifying your **initial and adjusted catch limit** and your **total catches** (as applicable)

NO If no, enter 0 (zero) in Table 1 and proceed to the next CMM

Table 1: *Catch Management (Paras 6, 7, 11) – *Note: Calendar Year Reporting for this table

Year	CJM catch limit	CJM catch limit after applying catch transfers	CJM catch total
2024	0	0 (Original amount +/- transfers)	0 (Final 2024- Annual Catch Report)
2025	0	0 (Original amount +/- transfers)	0 (Catch estimate as of 31 Oct 2025)

Para 8: Did the total catches from all your flagged fishing vessels reach 100% of your catch limit?

YES If yes, please answer the following questions:
 Was the fishery closed for your flagged vessels?
 YES NO Closure Effective date:
 Was the Executive Secretary promptly notified of the date of the closure?
 YES NO Notification date:

NO

Para 9: Do you have additional measures that limit your vessels to catches less than the amount specified in Table 1 above?

YES If yes, please elaborate. Was the Secretariat advised?
 Enter relevant details here

NO

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
 paragraph 16 (required data, annual catch report) can be found within CMM 02-2025.
 paragraph 18 (VMS) can be found within CMM 06-2023.
 paragraph 19 (active vessels) can be found within CMM 02-2025.
 paragraph 22 (CMS) can be found within CMM 10-2020.



Data Collection and Reporting

Table 2: Data Collection and Reporting (Paras 13, 14, 16, 19, 21, 25)

Nº of Reports (monthly and 15-day) provided	Lists of authorised, active and transhipping vessels provided?	Annual national report, age-length and/or survey information provided to SC?	Observer coverage level
	YES <input type="checkbox"/> NO <input type="checkbox"/> Partial <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>	

Para 23: Did you conduct any research or stock assessments for *T. murphyi* during this past year?

YES *If yes, please answer the question below*

- Were they submitted to the Scientific Committee?
YES
NO

Enter relevant details here

NO

Para 24: Did any vessels land or tranship *T. murphyi* within your ports?

YES *If yes, please answer the question below*

- Did you take measures to verify those catches?
YES
NO

Comments:

NO

Cooperation in Respect of Fisheries in Adjacent Areas Under National Jurisdiction

Para 26: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2025 (*T. murphyi*) applies?

YES *If yes, please answer the questions below*

- Did you apply the Data Collection and Reporting measures described in CMM 01-2025 (*T. murphyi*) paragraphs 13-25 within your national jurisdiction areas?
YES
NO or Partial

Comments:

- Have you informed the Secretariat about conservation and management measures in effect for *T. murphyi* within your national jurisdiction areas (e.g., TAC resolutions)?
YES NO *Provide notification date*

Comments:

NO



Para 27-28: Are you a coastal State that has **NOT** given your express consent under Article 20 paragraph 4(a)(ii) of the Convention?

YES *If yes, please answer the questions below*

- Have you established domestic measures for catches of *T. murphyi* that may result in catches in the area under your national jurisdiction exceeding 133,381 tonnes?

YES *Enter relevant details here*

NO

- If YES, did you submit to the Secretariat a report explaining to the Commission how the national measures concerning the *T. murphyi* fishery in areas under your national jurisdiction are compatible with those adopted by the Commission?

YES *Enter submission date*

NO

NO



CMM 02-2025 Standards for the Collection, Reporting, Verification and Exchange of Data¹

During this reporting period, the United States of America did not conduct any activities covered by this CMM; the United States submitted an annual report to the Scientific Committee in fulfilment of the requirements of Paragraph 7.

Annual Reports to the SPRFMO SC *(requirement for all Members and CNCPs)*

Para 7: Did you submit an annual report to the Scientific Committee (at least **one month in advance** of the annual SC meeting) informing them about the fishing, research, management activities undertaken over the previous (2024) year (including all required sections)? *(NOTE: NIL report required if no activity carried out)*

YES
 NO

Enter relevant details here

General Question *(requirement for all Members and CNCPs)*

Did you have vessels in the Convention area during the reporting period and/or during the period January to December 2024 for which you have fisheries catch, landing, transshipment and/or observer data?

YES *If YES, complete the remaining questions in the relevant sections;*
 NO *If NO, proceed to the next CMM.*

Data on Fishing Activities and the Impacts of Fishing

Para 1a: Did you collate annual catch totals raised to “live” weight for all species/ groups caught in the previous 2024 calendar year (as described in Annex 13) and provide this to the Secretariat prior to 30 September 2025?

YES
 NO
 Partial

Enter relevant details here

Table 3: Participation in SPRFMO fisheries – 2024 calendar year (Para’s 1b/c, 2b/c)

Fishing method	Activities undertaken?	Main species caught?	Nº of vessels	Activities observed? (Yes/No)
Demersal Hand/dahn/Drop line	YES <input type="checkbox"/>			
Demersal Longline	YES <input type="checkbox"/>			
Demersal Trapping	YES <input type="checkbox"/>			
Demersal Trawl	YES <input type="checkbox"/>			
Pelagic Purse seine	YES <input type="checkbox"/>			
Pelagic Trawl	YES <input type="checkbox"/>			
Squid jigging	YES <input type="checkbox"/>			
Transshipment	YES <input type="checkbox"/>			
Landings	YES <input type="checkbox"/>			
Reefer Landings	YES <input type="checkbox"/>			
<i>Other fishing methods or data (rows can be added if needed)</i>	YES <input type="checkbox"/>			

Para 1d: Did you compile data on fishing activities and the impacts of fishing for the 2024 year and provide to the Secretariat on the Data Submission Templates prior to 30 June 2025?

¹ The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2025



- YES
NO
Partial

Observer Data

Para 2c Was the previous year's observer data (2024 calendar year) submitted to the Secretariat in Microsoft Excel format prior to 30 September 2025?

- YES
NO
Partial

Enter relevant details here

Data Verification

Para 4: Have you developed and implemented mechanisms to verify your SPRFMO fishery data?

- YES
NO

Enter relevant details here

Data Exchange

Para 5: Have you reported all data relevant to the methods listed in Table 3 (previous page) to the Secretariat in accordance with the appropriate specifications and described formats, using the templates created by the Secretariat and stored on the website?

- YES
NO
Partial

Enter relevant details here



CMM 03-2025 Bottom fishing in the SPRFMO Convention Area^{1,2}

During this reporting period, the United States of America did not conduct any activities covered by this CMM.

Definitions

Para 2: Did your vessels engage (or intend to engage) in “bottom fishing” activities in the Convention Area?

YES *If yes, continue to complete the questions in this CMM*

NO *If no, respond to Para 10 below and then skip to the next CMM*

General Provisions

Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention Area, **except** when in accordance with this CMM together with CMM 03a-2025 (Deepwater species) (*or when bottom fishing activities are pursuant to an Exploratory CMM*)?

YES

NO **N/A: U.S. vessels do not participate in bottom fishing activities in the Convention Area.**

Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the SPRFMO Record of Vessels in accordance with CMM 05-2023 (Record of Vessels)?

YES

NO

Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in the Convention Area? (*mark all that apply*)

- **12. a)** Authorisation has been given by the Commission under Para 22(d)(i).

YES

NO

Comments:

- **12. b)** Approval has been given by the Commission under paragraph 17 of CMM 13-2024 (Exploratory Fisheries).

YES

NO

Comments:

Encounters with Potential VMEs (Paragraphs 26-38)

Para 28: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa above the specified thresholds?

YES *If yes, continue to answer questions below*

- How many Encounters occurred during this reporting period?

Enter relevant details here

- In each case, did the vessel cease bottom fishing immediately within the encounter area?

YES

NO

- In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?

YES

NO

¹ The implementation of paragraph 20 (Marine mammals, Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2025 and 09-2017 as appropriate.

² The implementation of paragraph 39 (benthic bycatch) can be found within CMMs 02-2025.



NO

Para 31: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area pending any related Commission decision?

YES

NO

Para 33: During this reporting period, did you submit any detailed descriptions of VME Encounters to the Scientific Committee (including suggested management actions) because of a temporary suspension pursuant to paragraph 31?

YES

NO

Bottom Fishing Management Areas

NOTE: Activities specific to approved Exploratory Fishery CMMs can be reported in the relevant CMM 13/14 sections. No further entry in this section for CMM 03 is required. CMM 13-2024 (Exploratory Fisheries) does not apply to bottom fishing in the three Management Areas established in paragraph 14 of this CMM.

Para 14: Did all the bottom fishing activity in the Convention Area by your authorised vessels occur within the three defined Management Areas (para 13) and in accordance with the terms of this CMM¹ (together with CMM 03a-2025)?

YES

NO

Elaborate if activities occurred outside the management areas or contrary to the CMM

Para 16: Did you ensure that all your vessels complied with the following three provisions²?

a) Bottom trawling shall only occur in a bottom trawl Management Area;

YES

NO

b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area;

YES

NO

c) Bottom lining shall only occur in a Management Area.

YES

NO

Assessment of Proposed Bottom Fishing

Para 21a: During this reporting period, did you submit to the Scientific Committee, not less than 60 days before the SC annual meeting, a Bottom Fishery Impact Assessment that met the appropriate standard (SPRFMO BFIAS) and included consideration of cumulative impacts and proposed mitigation measures to prevent such impacts?

YES

NO

¹ Excluding fishing covered by CMM 13-2024 (Exploratory Fisheries)

² Excluding fishing covered by CMM 13-2024 (Exploratory Fisheries)



Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph 21(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery may have changed?

- YES *Enter relevant details here if applicable*
NO

Monitoring and Control of Bottom Fishing Activities

Para 38a: Did you ensure that your vessels participating in bottom fishing:

- are equipped and configured so that they can comply with all relevant SPRFMO CMMs?
YES
NO
- act in accordance with CMM 06-2023 (Commission VMS), polling once every 30 minutes for the duration of the trip (*i.e., port to port VMS coverage*)?
YES
NO
- report tow or set start and end position to 1/100th degree resolution - decimal format?
YES
NO

Observer Coverage

Para 39: What was your observer coverage level (during this reporting period) for:

- Vessels using bottom trawl?
Enter relevant details here
- Vessels using mid-water trawl gear?
Enter relevant details here
- Vessels using bottom line gear (expressed as the percentage of observed hooks)?
Enter relevant details here



CMM 03a-2025 Deepwater Species in the SPRFMO Convention Area

During this reporting period, the United States of America did not conduct any activities covered by this CMM.

Interpretation

Para 2: Did your flagged vessels engage in “bottom fishing” activities pursuant to **CMM 3a-2023** in the Convention Area? (*Note: Report on specific CMM 14 Exploratory Fisheries separately under the appropriate CMM 14 section*)

YES *If yes, complete the table/sections below*

NO *If no, skip to the next CMM*

Table 4: CMM 03a-2025 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, & 15)

Species - Area	2024 Catch Limits (t)	2024 Catch Limits after applying transfers	Final 2024 Annual Catch Totals
Orange Roughy – Tasman Sea	AUS 76; NZ 302		
Orange Roughy – Louisville Ridge	AUS 58; NZ 523		
Orange Roughy – Westpac Bank	AUS 13; NZ 245		
Orange Roughy – South Tasman Rise (HS)	AUS 0; NZ 0		
All other target and non-target species	AUS 211; NZ 762		
Species - Area	2025 Catch Limits (t)	2025 Catch Limits after applying transfers	Provisional 2025 Catch Totals* (*as of 30/09/2025)
Orange Roughy – Tasman Sea	AUS 76; NZ 302		
Orange Roughy – Louisville Ridge	AUS 58; NZ 523		
Orange Roughy – Westpac Bank	AUS 9; NZ 168		
Orange Roughy – South Tasman Rise (HS)	AUS 0; NZ 0		
All other target and non-target species	AUS 211; NZ 762		
Number of catch reports provided in this reporting period (Oct 2024– Sept 2025)			

Catch Limits and Monitoring (Paras 16, 17 & 18)

Para 16: Did your vessels participating in bottom fishing reach 70% of the allocated tonnage (*Para 16; Table 1*)?

YES *If yes, continue to answer questions below*

- Was the catch limit (tonnage) fully harvested for any of the area(s)?
 - YES *If yes, elaborate*
 - NO
- For each case, did the vessel(s) cease bottom fishing once the catch limit was reached?
 - YES *If yes, elaborate*
 - NO

NO



Para 17-19: Did your vessels have catches of Orange Roughy from any of the following Tasman Sea stocks:

1) Northwest Challenger; 2) Lord Howe Rise; or 3) West Norfolk Ridge?

YES *If yes, continue to answer questions below*

- To which of the above Stock Areas do the catches pertain (i.e., 1, 2, and/or 3)?

Enter relevant details here

- In each case, were weekly (or daily if applicable) catch reports submitted to the Secretariat?

YES

NO *If no, elaborate*

- In each case, was fishing effort by your vessels ceased once the Secretariat advised that the appropriate thresholds were reached to stop fishing for the remainder of the year?

YES

NO *If no, elaborate*

NO

Para 20/21: Did you notify the Secretariat of intention to carry forward under-caught **orange roughy** catch for a defined area to the next fishing year?

YES *If yes, continue to answer questions below*

- Identify the amounts being carried forward (by defined area)?

Enter relevant details here

- Was the catch limit identified in Table 1 fully caught for any area?

Yes No

- If yes, was the Secretariat notified of intention to begin fishing the carry forward allocation (if that area have an applicable carry forward amount)?

Yes No N/A *No carry forward amount applicable*

- Does the **total** carry forward amount exceed 100% of your allocated catch limit for the applicable year?

YES *If yes, elaborate*

NO *Identify the total carry forward %*

NO

Para 27: For your flagged vessels, was the Secretariat notified no less than 72 hours in advance of commencing fishing activities of the intent to fish in the Tasman Sea (*west of 178 E*) or the Westpac Bank areas?

YES *If yes, identify the number of trips*

Partial *Elaborate or explain*

NO *Elaborate or explain*

Para 28: For your flagged vessels participating in bottom fishing, was the Secretariat notified of the monthly catches (in electronic format) within 20 days of the end of each month?

YES *If yes, identify the number of monthly reports submitted*

NO *Elaborate or explain*

Partial *Elaborate or explain*



CMM 04-2025 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

Information on alleged IUU Fishing activities

Para 2: During the reporting period, did you transmit a list of presumed IUU fishing vessels (*along with suitably documented evidence relevant to activities in the SPRFMO Convention Area during the past 2 years*) to the Secretariat at least 120 days before the annual meeting?

YES *If yes, continue to answer Para 4*

- **Para 4:** Did you inform the relevant flag State before, or at the same time as, transmitting the list?

YES

NO

Enter relevant details here

NO

Draft IUU Vessel List

Para 5: Were any of your flagged vessels on the draft IUU Vessel List reviewed at CTC12?

YES *If yes, answer the following question (Para 7)*

- **Para 7:** Did you notify vessel owners and inform them about the potential consequences?

YES

NO

Enter relevant details here

NO

IUU Vessel List

Para 15: Are any of your flagged vessels on the current final SPRFMO IUU Vessel List?

YES *If yes, answer the questions below*

- Did you notify the vessel owners and inform them about the consequences of inclusion?

YES

NO

- Were any measures taken to eliminate these IUU activities and was the Commission informed of these actions?

YES

NO

Enter relevant details here

NO

Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2025 (IUU)? (*points a to i*)

YES **The United States implemented the Port State Measures Agreement and can confirm that no vessels on the SPRFMO List of Vessels Presumed to have Carried Out IUU Fishing Activities in the SPRFMO Convention Area landed in our ports.**

NO



Modification of the IUU Vessel List

Para 21: Did you request the removal of any of your vessels from the Final IUU Vessel List, during the most recent intersessional period?

YES *If yes, elaborate below*

NO

Enter relevant details here

Action Against Nationals Involved in IUU Fishing Activities

Para 25: Did you take any measures against your nationals that have been identified as engaged in, responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities in the SPRFMO Convention Area?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 28: Did you take any other actions and/or measures in accordance with this CMM that have not previously been reported in this section?

YES *If yes, elaborate below*

NO

Enter relevant details here

Information Indicating a Change of Circumstances of Vessels Appearing on the Current IUU Vessel List

Para 29: Did you transmit information which indicated a change in the details of a vessel (such as Vessel Name, International Radio Call Sign, External markings, etc) of a vessel appearing on the SPRFMO IUU Vessel List to the Secretariat during this reporting period?

YES *If yes, elaborate below*

NO

Enter relevant details here

Additional IUU Fishing in Waters under National Jurisdiction Obligations

Para 30: Did you share information with other Members and the Executive Secretary, regarding fishing activities carried out by foreign vessels in waters under national jurisdiction adjacent to the Convention area or involving SPRFMO fishery resources that undermine the effectiveness of SPRFMO CMMs in force?

YES *If yes, elaborate*

NO

Did you take action, to address such activities, and report on any actions taken?

YES *If yes, elaborate*

NO

Additional Obligations - Subsidy

Para 31: Did you grant or maintain any subsidy to a vessel, or to the operator of a vessel, on the SPRFMO IUU Vessel List?

YES *If yes, elaborate*

NO



CMM 05-2023 The Commission Record of Vessels authorised to fish in the Convention Area

During the reporting period, the United States of America did not have any vessels authorized to fish in SPRFMO.

General

Para 2: Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on any RFMO IUU vessel list or on the IUU vessel list of CCAMLR?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 1,3: Are you able to exercise effectively your responsibilities (including enforcement actions) for all vessels flying your flag, and authorised to fish in the Convention Area, in respect of such vessels under the Convention, including relevant CMMs adopted by the Commission, and in accordance with relevant international law?

YES N/A The United States of America did not have any vessels authorized to fish in SPRFMO

NO

Partial *If no or partial, elaborate below*

Enter relevant details here

Para 4: Did you maintain a register of your flagged fishing vessels authorised to fish in the SPRFMO Area?

YES *If yes, answer the following question (Para 5)*

Para 5: Does the register contain all the **mandatory** information as described in Annex 1 of CMM 05 - Record of Vessels? *Elaborate as necessary.*

YES

NO

Partial

NO

Vessel Data Submission:

Table 5: Vessel Data Submission Summary (Para’s 4, 6, 7, 8)

Para 4 – Total N° of vessels authorised for SPRFMO on your vessel register during the reporting period?	Para 6 - N° of new vessels authorised during the reporting period? <i>(15-day advance notification prior to first entry)</i>	Para 7 - N° of notifications regarding modifications to vessel data submitted during the reporting period?	Para 8- N° of revoked, relinquished or invalid authorisations during the reporting period?	Authorisation/ Relinquishment/ Modification details submitted within the required timeframes? <i>(Para 6/7 = 15 days; Para 8 = 3 days)</i>
				YES <input type="checkbox"/> NO* <input type="checkbox"/>

**Elaborate on any issues/exceptions:*

List of Active Vessels

Para 13: Did you notify the Executive Secretary of your list of authorised vessels that are **actively fishing** or **engaged in transshipment** in the SPRFMO Convention Area (either monthly *(for CJM)* or prior to 30th January as appropriate)?

YES Please specify for the **2024 CALENDAR YEAR:**

- Number of Vessels actively fishing (Catcher vessels):
- Number of Vessels only engaged in transshipment activity:
- *Optional - Number of “other vessels” (e.g. bunker, research)*

NO

N/A *We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period*



CMM 06-2023 The Vessel Monitoring System in the SPRFMO Convention Area

The United States of America has a VMS Point of Contact designated but during the reporting period, no U.S. fishing vessels operated in SPRFMO.

Application: Commission Vessel Monitoring System

Para 2: Were any of your authorised vessels within the SPRFMO Convention Area or the adjacent 100 nm buffer zone during the reporting period?

YES *If yes, continue to answer remaining questions in this CMM.*

In total, how many of your individual authorised vessels reported their movements and activities to the Commission VMS during the reporting period? _____

NO *If no, answer the following question*

Did you request access to Commission VMS data during the reporting period?

YES *Proceed to Paragraphs 13, 21, 24, 25, 27, 28*

NO *Proceed to the next CMM*

Purpose: Continuously Monitor the Movements and Activity of Fishing Vessels

Para 4:

Were your authorised vessels, operating in the SPRFMO Convention Area or the adjacent buffer zone, continuously reporting their positions?

YES

NO

VMS positional reports must be transmitted to, and displayed on, Commission VMS in a manner to ensure continuous monitoring of the vessels active in the SPRFMO Convention Area and 100 nm Buffer zone. Have you been advised of any disruption¹ to the Commission VMS display for any of your flagged vessels during the reporting period?

YES

NO

If VMS disruptions or data gaps* were identified during the reporting period for vessels flying your flag, has the missing VMS positional data since been uploaded to complete the Commission VMS record?

YES

NO *General comments*

**Note: The Secretariat will provide separately a summary of VMS issues identified for vessels flagged to the Member/CNCP. Specific comments can be provided in the separate excel summary sheet relevant to each issue during the draft Compliance Report process including whether VMS data was recovered or an explanation of the situation.*

Nature and Specification of the Commission VMS

Para 9: Automatically reporting of VMS positional data to the Commission VMS for your authorised vessels is via which of the following options?

(a) To the Secretariat via your FMC; *(default; applies to most flag states)* or

(b) Simultaneously to both the Secretariat and your FMC

¹ As per the direction from the COMM10 meeting, all VMS issues identified for a Member/CNCP will form part of the discussion at the Compliance Assessment process. The Secretariat will advise Members/CNCPs of VMS issues as they arise as well as provide an overall summary prior to the annual CTC meeting (including any comments/explanations).





Para 11: If applicable, did your FMC automatically forward VMS reports to the Secretariat regularly at intervals of **not less than one hour** for all vessels that were active in the Area?

- YES
Partial
NO
N/A

Comments:

Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2023?

- YES
NO

Procedure for Manual Reporting

Para 14:

Did the Secretariat notify you at any time during the Reporting Period that there were data gaps in the VMS positional display on Commission VMS or that VMS positional data was not displaying for any of your vessels during the reporting period?

YES *If yes, please answer the questions below*

- Upon follow up, were any of the failures/VMS disruptions due to **the inability of the vessel** to automatically report its VMS positional data from the vessel to the FMC? (e.g. ALC failure)
YES *Answer following the questions pertaining to Annex 3 obligations*
NO *Proceed to paragraph 16*

CMM 06-2023 Annex 3 (Manual Reporting Rules):

- Para 1: Did you direct any of your vessels to apply the manual reporting procedure specified in Annex 3, of during this reporting period?

YES *If yes, please list vessel(s)/date here and answer questions below*

Enter relevant details here

NO

- Para 2: After commencing Manual reporting, were the manual reports provided to the Secretariat/updated to Commission VMS?

YES

NO

Enter relevant details here

- Para 3: Subsequent to commencing Manual reporting, were you able to re-establish normal automatic reporting of VMS positions to the Commission VMS?

YES

NO *Elaborate*

Para 3: Was any vessel ordered to stop fishing, stow all fishing gear, and return immediately to port to undertake Repairs.

YES *Elaborate*

NO

NO



Security and Confidentiality Requirements

Para 13: Did you receive or access any VMS data from the Commission VMS during the assessed period?

YES *If yes, please answer the question below*

- Did you adhere with all the Security and Confidentiality requirements specified in Annex 2 of CMM 06-2023 as well as the Maintenance of Confidentiality Requirements of paragraph 6 of CMM 02-2025 (Data Standards)?

YES

NO *If no, please elaborate*

NO

Use and Release of VMS Data Requiring the Permission of the Member or CNCP

Para 21: Have you designated a **VMS Point of Contact** for the purposes of communications regarding the VMS system to/from the Secretariat? *(List of current contacts found on the Members page of website)*

YES *If yes, please answer the question below*

- If so, is the VMS Point of Contact listed on the SPRFMO website still valid?

YES

NO *If no, please update the contact information*

NO *If no, please provide the information*

Use and Release of VMS Data not Requiring the Consent of the Member or CNCP

Para 24-25: Did you receive any VMS data from the Commission VMS for the purposes of planning or conducting active surveillance operations and/or inspections at sea?

YES *If yes, please answer the question below*

- Did you keep data confidential and restrict access to officials deemed necessary to know?

YES

NO *If no, please explain*

- Did you delete VMS data within 24 hours of the conclusion of the operation and notify the Secretariat in writing?

YES

NO *If no, please answer the questions below*

- If the VMS data was retained for longer than 24 hours, have you informed the Secretariat about the purpose and expected timing of the additional period of data retention?

Enter relevant details here or indicate Not Applicable

NO

Para 27: Following active surveillance and/or inspection activity relevant to the VMS data request, was the Secretariat and the relevant VMS Point of Contact provided with a report that included the name of the vessel or aircraft on active surveillance and/or inspection activity?

YES

NO *If no, please explain*

Para 28: Did you request VMS data for supporting search and rescue activities undertaken by a competent MRCC?

YES *If yes, answer the question below*

- Did you ensure that the data was only used for that purpose?

YES NO

NO



CMM 07-2025 Minimum Standards of Inspection in Port

Scope

Para 1: Did your ports receive, or expect to receive:

- a) any fishing vessels carrying *Dissostichus* spp., caught in the SPRFMO Convention Area? OR
- b) foreign fishing vessels carrying **SPRFMO-managed species** caught in the Convention Area that had not been previously landed or transhipped at port or at sea?

YES

NO

*Points of Contact – Designated Ports

Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the Secretariat for the purposes of receiving notifications?

YES *Any updates or modifications required the information on the SPRFMO website?*

NO

Para 8/10: Have you designated ports to which foreign fishing vessels may request entry and transmitted the list of designated ports to the Secretariat for adding to the register of designated ports (on website)?

YES *Any updates or modifications required the information on the SPRFMO website?*

NO

Para 9: Do you have sufficient capacity to conduct inspections in every designated port?

YES

NO

Enter relevant details here

**Please review information currently posted on the public area of the SPRFMO website pertaining to your "Points of Contact" and "Designated Ports" for accuracy and advise of any updates or modifications required.*

Prior Notification

Para 12: Do you require fishing vessels carrying *Dissostichus* spp and foreign fishing vessels to provide information prior to any landing or port transhipment?

YES *If yes, answer the questions below*

- Does the information comply with Annex 1 Port Call Request template requirements?

YES

NO *If no, please answer the question below*

- Was the Secretariat promptly informed of any relevant Port Call Request received?

N/A *(No Port Call Requests Received)*

YES Partial *Enter relevant details here*

NO *Enter relevant details here*

NO *Enter relevant details here*

Para 13: For this reporting period, identify the advance notification request period required to access your ports. Is it 48 hours? *(if other than 48 hours please specify below for updating on the SPRFMO website).*

YES

NO The advance notification request period for the United States is 96 hours.

Enter relevant details here



Port Inspections

Para 16: Did you inspect all fishing vessels carrying *Dissostichus* spp. that entered your ports for landing or transshipment?

YES

NO

N/A

Enter relevant details here

Para 18: Did you receive any specific requests from other Members, CNCPs and/or relevant RFMOs to inspect a particular foreign fishing vessel?

YES *If yes, elaborate below*

NO

Enter relevant details here

Table 6: Port Activity Summary (Para's 12, 14, 16, 17)

Nº of fishing vessels carrying <i>Dissostichus</i> spp ¹ seeking to use port services	Nº of foreign fishing vessels ² seeking to use port services	Nº of fishing vessels denied port entry	Nº of port inspections undertaken	Percentage of fishing vessel port calls versus port inspections

Inspection Procedure

Para 22: Did you encounter any issues or difficulties with the inspection functions/procedures described in Annex 2 or paragraphs 23-27 of CMM 07-2025 (Port Inspection)?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 26: Did you transmit copies of all inspection reports to the SPRFMO Executive Secretary no later than 15 working days following the date of completion of the inspection using the Format for Port Inspection Reports in Annex 3?

YES

NO *If no, elaborate below*

Enter relevant details here

Procedure in the Event of Infringements (Port State)

Para 28: Did any of your port inspections uncover evidence that a foreign fishing vessel has committed a breach of the SPRFMO CMMs?

YES *If yes, elaborate below*

- Did you forward a copy of the inspection report and evidence to the SPRFMO Executive Secretary and to the flag Member or CNCP point of contact within 5 working days?
YES NO

NO

Enter relevant details here

¹ Caught in the SPRFMO Convention Area and/or fish products originating from such species

² Currently authorized on the SPRFMO Record of Vessels



Para 29: Did any of your inspections uncover a breach that you (as a port State) acted on in accordance with your domestic laws?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 30: Did you, because of a port inspection, refer any alleged infringements to the relevant flag State so that they may promptly investigate?

YES *If yes, elaborate below*

NO

Enter relevant details here

Para 31: Were you authorised by another flag State to undertake an investigation in relation to alleged infringements detected because of your port inspection?

YES *If yes, elaborate below*

NO

Enter relevant details here

Procedure in the Event of Infringements (FLAG State)

Para 30:

Did you receive, because of a port inspection, information regarding an alleged infringement pertaining to the activities one of your vessels?

YES *Complete next question*

NO

If yes, did you investigate the alleged infringement and notify the SPRFMO Executive Secretary of the status of the investigation and of any enforcement action that may have been taken within 90 days of such receipt?

YES *If yes, elaborate below*

NO

Enter relevant details here

Requirements of Developing Members and CNCPs

Para 33: Have you provided (or received) any assistance as described under Paragraph 31 of CMM 07-2025 (Port Inspection)? (*Such as the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCPs*)

YES

NO

Enter relevant details here

General Provisions

Para 40: To facilitate the implementation of this CMM, did your national information systems allow for the direct electronic exchange of information on port State measures with the SPRFMO Secretariat?

YES NO

Para 41: Have you, in your capacity as a port State, entered into any bilateral agreements or arrangements that allow for joint inspections and an inspector exchange programme?

YES NO

Para 42: Have you, in your capacity as a port State, invited officials from the flag Member or CNCP to observe or take part in a port inspection, pursuant to this CMM, of a vessel of that flag?

YES NO



CMM 08-2023 Gillnets in the SPRFMO Convention Area

During the reporting period, the United States of America did not have any vessels fishing in the SPRFMO Convention Area.

Notwithstanding, the USA prohibits its vessels from conducting large-scale driftnet fishing (Reference 16 U.S.C. 1857(1)(M)).

Gillnets

Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and deepwater gillnets in the SPRFMO Convention Area?

YES

NO

Enter relevant details here

Para 2: Have any of your vessels transited any part of the Convention Area while carrying gillnets?

YES *If yes, answer the questions 2a – 2d below*

- **Para 2a):** Was the Secretariat provided advance notice (72 hours) prior to entry?

YES

NO

- **Para 2b):** Did the vessels operate a VMS polling at least once every hour?

YES

NO

- **Para 2c):** Were VMS reports submitted automatically to the FMC while transiting the Convention Area?

YES

- Were the reports forwarded to SPRFMO FMC?

YES NO

NO *Enter relevant details here*

- **Para 2d):** Were any Gillnets accidentally lost and/or abandoned overboard?

YES *Enter relevant details here*

- If yes, was the lost reported to the Secretariate within 48 hours of the gear being lost using the ALDFG process?

YES NO

NO

NO



CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area¹

During the reporting period, the United States of America did not have any vessels participating in SPRFMO managed fisheries.

General Provisions

Para 1 - 2: Do you have flagged vessels participating in SPRFMO fisheries (excluding approved Exploratory Fisheries) using demersal Longlines or trawl gear?

YES *If yes, continue to answer the following questions on this CMM*

NO *If no, skip to the next CMM*

(Note: Complete the questions below only if your vessels conducted demersal Longline or Trawl Fishing in the Convention Area. Do not include Exploratory fisheries as that info will be provided in the applicable CMM 14 exp report sections)

Fishing with Demersal Longlines

Table 7*: Seabird mitigation measures in Demersal Longline (Para 9 & Annex 1-para 1)

Biological discharge during shooting/hauling prohibited? (Annex 1, Para 1.a)	Identify the Seabird mitigation measures used in SPRFMO Area? (Annex 1, Para 1.b)	Observer coverage level ² ?	Nº of Seabird interactions?	Approx. Seabird mortality rate (per 1000 hooks observed)
YES <input type="checkbox"/> NO <input type="checkbox"/>				

*References the Reporting Period

Annex 1, para 1b) ii: Have you maintained spatially and temporally appropriate observer coverage for the previous 5 consecutive calendar years at levels greater than 10% and recorded a seabird mortality rate less than 0.01 birds/ 1000 hooks?

YES *If yes, your vessels only needed to apply 1 measure; please answer questions below*

- Are any of your demersal longline vessels only required to apply one of the three specified mitigation measures (i.e., line weighting, bird scaring, setting at night)?
 - YES
 - NO
- During the reporting period have you maintained a minimum of 10% observer coverage over your demersal longline fleet?
 - YES
 - NO

NO

Annex 1 para 2: Have any of your demersal longline vessels exceeded a mortality rate of 0.01 birds/1000 hooks during the reporting period?

YES *If yes, answer the following question*

Annex 1, para 2a): Please list those vessels and state if, after the mortality event(s), whether these vessels applied at least one additional measure?

Enter relevant details here

NO

¹ When completing this section Members and CNCPs should consider paragraph 20 of CMM 03-2025, as relevant.
² Observer coverage focused on recording seabird bycatch; provided as a percentage of number of hooks.



Trawl Fishing

Table 8*: Seabird mitigation measures in Trawl Fisheries (Para’s 3, 9 & Annex 2 para 1, 2)

Nº of vessels that do not discharge Biological material? (Exempt from Mitigation Measures)	No. of vessels discharging Biological material? (Apply the Mitigation Measures)	Identify the seabird mitigation measures used in SPRFMO Area	Observer coverage level ¹	Nº of seabird interactions	Approx. seabird mortality rate (per tow observed)

*References the Reporting Period

Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive calendar years with less than 1 recorded mortality per vessel per year within your SPRFMO trawl fisheries?

YES *If yes, your vessels only needed to apply 1 measure; please answer questions below*

- Have you exempted any of your vessels from applying the specified mitigation measures (bird scaring lines, responsible discharge management, net cleaning, surface time minimisation)?

YES *If yes, please list the vessel(s) and identify the fisheries concerned*
Enter relevant details here

NO

- During the reporting period did any mortality events occur?

YES *If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied appropriate mitigation measures*
Enter relevant details here

NO

NO

Para 3: Did you have any trawlers which are exempt from applying seabird mitigation measures because they discharge no biological material?

YES *If yes, please list those vessels and stipulate when these vessel exemptions were last reviewed*
Enter relevant details here

NO

¹ Observer coverage focused on recording seabird bycatch; provided as a percentage of number of tows.



CMM 10-2020 Compliance Monitoring Scheme

(NOTE: This Section is Optional – if NIL response please proceed to next CMM)

Purpose

Para 1b: If applicable, please identify any areas in which you feel **technical assistance or capacity building** may be needed to assist Members and CNCPs to achieve compliance.
Enter relevant details here

Para 1c: If applicable, please identify any aspects of CMMs which you feel may require **improvement or amendment** to facilitate or advance their implementation.
Enter relevant details here



CMM 11-2023 High Seas Boarding & Inspection Procedures

Members/CNCPs not having vessels operating in the SPRFMO Convention Area during the Reporting period and who did not engage in High Seas Boarding and Inspections can proceed to the next CMM.

Members Conducting High Seas Boarding and Inspection (HSBI)

Para 9-11: Have you indicated your intention to carry out HSBI in the SPRFMO Convention Area and provided the Secretariat the information required in Paragraph 9 for posting on the Commission Website?

YES *Please advise if any of the information posted on the SPRFMO website requires updating.*

NO

Para 4: Did your Inspection Vessels/Inspectors engage in HSBI activities in the SPRFMO Convention Area during the Reporting period?

YES *If yes, please answer the following questions (elaborate in comments if required)*

- Did the inspections adhere to the procedures outlined in **Para 14-20**?

YES

NO *Elaborate:*

- Did any inspection result in an "Use of Force" incident as per **Para 24-25**?

YES *Elaborate:*

NO

- Did the Inspectors prepare a full report on each boarding & inspection carried out as per **Para 26-27**?

YES

NO *Elaborate:*

- Were Authorities for the Fishing Vessel and the Secretariat provided the HSBI report within 7 full working days of the completion of the HSBI as per **Para 26**?

YES

NO *Elaborate:*

- Did the Inspectors note any activity or condition that would constitute a Serious Violation as per **Para 32**?

YES

NO

- If a serious violation was detected, were the procedures outlined in **Para 28, 30, and 31** followed?

YES

NO *Elaborate:*

- Was any evidence obtained following a HSBI referred to the Authorities of the Fishing Vessel for action as per **Para 33**?

YES

NO *Elaborate:*

- Inspection Vessels – HSBI Statistical Summary:

Number of HSBI attempted:

Number of HSBI completed:

Number of HSBI refused:

Number of violations identified for follow-up:

Number of Members/CNCPs represented:

Additional Information/Comments:

NO *If no, proceed to "Member/CNCP's Vessels Inspected" – next page*



Members/CNCPs with Fishing Vessels Inspected at Sea

Para 6: Were any of your SPRFMO authorized vessels flying requested to receive a boarding party from a SPRFMO Contracting Party authorized to carry out HSBI?

YES *If yes, please answer the following questions (elaborate in comments if required)*

- How many of your flagged SPRFMO vessels were subject to a HSBI request?
Total Number:
- How many of your flagged SPRFMO vessels were inspected at sea?
Total Number:
- How many potential violations were identified by Inspectors stemming from a HSBI request?
Total Number:
- Did you receive notice as per **Para 16.d** from the Authorities of the Inspection vessel when a HSBI was taking place on your flagged vessel?
YES NO *Elaborate:*
- Did you receive a full HSBI report following the inspection of your flagged vessels as per **Para 26**?
YES NO *Elaborate:*
- Did the Master of your flagged Vessels adhere to the procedures outlined in **Para 21**?
YES NO *Elaborate:*
- Did any Master of your flagged vessels refuse to accept, or failed to facilitate, a safe boarding and inspection, contrary to **Para 21.a**?
YES *Elaborate:* NO
- If a Master of a Fishing Vessel flying your flag refused a boarding and inspection, was an explanation of the reason provided as per **Para 22**?
YES NO *Elaborate:*
- In the event of a HSBI refusal by a fishing vessel, did your Authorities direct the Master to accept the boarding and inspection as per **Para 23**?
YES NO *Elaborate:*
- Did the refusal to accept a boarding by any of your flagged vessels result in the suspension of its authority to fish and it being ordered to port (as per **Para 23**)?
YES NO *Elaborate:*
- Did the Authorities of the Inspection Vessels notify you of any activity or condition that would constitute a Serious Violation as per **Para 32**?
YES NO
- If you were notified of a serious violation(s) were the actions outlined in **Para 29** followed?
YES NO *Elaborate:*
- Did you receive evidence/inspection results from the Authorities of the Inspection Vessel for action as per **Para 33**?
YES NO *Elaborate:*

Additional Information/Comments:

NO



CMM 12-2023 Transshipment and Other Transfer Activities

(CMM 12-2024 entered in effect 01 October 2025; evaluation for this reporting period remains based on CMM 12-2023)

During the reporting period, the United States of America did not have any vessels participating in transshipment activities in the SPRFMO Convention Area.

All Members/CNCPs answer first question:

Have any of your authorised vessels conducted transshipments **OR** other transfer activities (including at-sea transfer of fuel, crew, gear or other supplies) during the reporting period?

- N/A *Member/CNCP did not have any vessels in SPRFMO during the reporting period. Skip to next CMM*
YES *If yes, complete the following tables and questions on this CMM*
NO *If no, skip to the next CMM*

General Provisions for all Vessels Engaged in Fishing in the Convention Area

Para 2: TRANSHIPMENTS: Were all transshipments of SPRFMO resources at-sea and in-port undertaken between authorised vessels included in the Commission Record of Vessels at the time of the activity?

- YES
NO *Enter relevant details here*
N/A *(Select if your Vessels did not engage in transshipments)*

Paras 3: TRANSFERS: In the Convention Area, were at-sea transfers of fuel, crew, gear or any other supplies to vessels engaged in fishing SPRFMO resources limited to only vessels authorized on the Commission Record of Vessels at the time of the activity?

- YES
NO *Enter relevant details here*
N/A *(Select if your Vessels did not engage in at-sea transfers)*

<Only complete the rest of this CMM if your flagged vessels transhipped SPRFMO Fisheries Resources>

Paragraph 10: Standard Notification and Reporting

Did you provide all data relating to transshipment events such as such as notifications, observer logsheets, and operational details, to the Secretariat in a standardised format using the appropriate templates?

- YES
NO *Enter relevant details here*

Transshipments of Fisheries Resources Caught in the Convention Area

Paragraph 4: (not applicable to Jumbo Flying Squid (GIS))

Transshipment **7-day advance** notifications (*not applicable to Jumbo Flying Squid (GIS)*):

Were advance transshipment notifications of the 14-day transshipment period, sent to the Secretariat at least 7 days in advance, for **all receiving vessels** (Carriers) receiving SPRFMO non-GIS resources?

- N/A *Member/CNCP Flagged Vessels were not Carrier Vessels or only received Jumbo Flying Squid*
YES
NO *Enter relevant details here*

Paragraph 5: (Applies to all transshipments including GIS)

Transshipment **12-Hr advance** notifications: Were advance transshipment notifications from **all receiving vessels** (Carriers) and from **all unloading** (Catcher) vessels, sent to the Secretariat **at least 12-hours before** the estimated time of the transshipment activity?

- YES



NO *Enter relevant details here*



Paragraph 9: Transhipment Operational Details:

Species other than Jumbo Flying Squid (GIS):
 Were the transhipment operational details sent to the Secretariat no later than **7 days after** the transhipment was carried out (applies to both the **receiving vessels** (carriers) and the **unloading** (catcher) vessels)?

N/A *Member/CNCP Flagged Vessels only involved in transhipment of Jumbo Flying Squid*
 YES
 NO *Enter relevant details here*

Jumbo Flying Squid (GIS) only:
 Were the transhipment operational details sent to the Secretariat within **20 days of the end of each quarter** for which the transhipment was carried out. This applies to both the **receiving vessels** (carriers) and the **unloading** (catcher) vessels?

N/A *Member/CNCP Flagged Vessels not involved in transhipment of Jumbo Flying Squid*
 YES
 NO *Enter relevant details here*

Complete the following 3 tables (enter a Zero or Nil in the box if not applicable):

Table 9: Transhipments of *T. murphyi* caught in the Convention Area (Para’s 5, 6, 9)

Nº of transhipments in which your vessels unloaded <i>T. murphyi</i> catch	Nº of transhipments in which your vessels received <i>T. murphyi</i> catch	How many of the <i>T. murphyi</i> transhipments were observed?

Please list the vessels that conducted transhipments of *T. murphyi* during the reporting period
Enter relevant details here or include the details in a separate attachment.

Table 10: Transhipments of jumbo flying squid caught in the Convention Area (Para’s 5, 6, 9)

Nº of transhipments in which your vessels unloaded jumbo flying squid?	Nº of transhipments in which your vessels received jumbo flying squid?	How many of the jumbo flying squid transhipments were observed?

Please list the vessels that conducted transhipments of *jumbo flying squid* during the reporting period
Enter relevant details here or include the details in a separate attachment.

Table 11: Transhipments of demersal species¹ caught in the Convention Area (Para’s 5, 6, 9)

Nº of transhipments in which your vessels unloaded demersal catch	Nº of transhipments in which your vessels received demersal catch	How many of the demersal transhipments were observed?

Please list the vessels that conducted transhipments of *demersal species* during the reporting period
Enter relevant details here or include the details in a separate attachment.

¹ Demersal species generally live on or near the ocean floor. Jumbo flying squid (GIS) in SPRFMO is **not** considered to be a demersal species.



CMM 13-2024 Exploratory Fisheries

During the reporting period, the United States of America did not have any vessels participating in Exploratory Fisheries in the SPRFMO Convention Area and are not seeking to pursue an Exploratory fishery.

Requirements for Exploratory Fisheries

Para 6: Are you seeking to permit a vessel(s) under your flag to fish in an exploratory fishery?
(Complete only for **NEW** requests/applications; not necessary for Exploratory Fisheries already Commission approved)

YES If yes, answer the following questions

- **Para 8a:** Have you applied to the Commission in respect of these activities?
YES NO
- **Para 8b:** If have you submitted a Fisheries Operation Plan to the Scientific Committee in respect of these activities?
YES NO
(If YES, answer the following 2 questions)
 - Was the succinct description of the intended Fisheries Operational Plan for **information purposes** submitted no less than **120 days** prior to the next Annual Meeting of the Scientific Committee.
YES NO
 - Was the **full** Fisheries Operational Plan submitted no less than **60 days** in advance of the next Annual Meeting of the Scientific Committee.
YES NO

NO

Fishing Activity

Para 21: Are any of your vessels currently permitted to fish in an **approved** SPRFMO exploratory fisheries?

YES
NO

If Yes: did the fishing activities for your flagged vessels conform with the “Fishing Activity” requirements outlined in **Para 21** (abide to the Operational Plan), **Para 22** (completed Data Collection Plan was submitted), and **Para 23** (Independent Observers assigned to collect data)

YES
NO Elaborate

Para 20: During the reporting period did any of your flagged vessels fish in an exploratory fishery (as defined in Paragraph 4- referenced below- with clarification in Para 5) without approval from the Commission?

YES Elaborate
NO

Para 4: For the purposes of this CMM, a fishery is an “**exploratory fishery**”:

- a) If it has not been subject to fishing in the previous ten years; or
- b) For the purposes of fishing with a particular gear type or technique, if it has not been subject to fishing by that particular gear type or technique in the previous ten years; or
- c) If fishing in that fishery has been undertaken in the previous ten years pursuant to this CMM, and a decision has not yet been taken in accordance with paragraph 28 or 29 of this CMM to either close or manage the fishery as an established fishery; or
- d) If it is of a kind listed in paragraph 16 of CMM 03-2025 (Bottom Fishing);
- e) If the Scientific Committee has not reviewed and provided scientific monitoring and harvest advice for the fishery, or such advice has not been considered by the Commission.





CMM 14a-2022 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

*This CMM is only applicable to New Zealand; all other Members and CNCPs proceed to the next CMM. NZ to complete for that part of the reporting period **Oct 2024 – Feb 2025** (or as otherwise indicated). As per Para 27, this CMM expired following the regular meeting of the Commission in Feb 2025. (Note: CMM 14i – 2025 reporting is a separate section).*

This CMM is not applicable to the United States of America

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred in total during 2024? ____
 Did any fishing trips occur during January or February 2025? ____
 Of these, how many trips occurred between August and October (post-spawning)? ____
Enter relevant details here
 NIL If "nil" then skip to the next CMM

Para 5: Was the exploratory fishing for toothfish all conducted within the eight exploratory fishing research blocks (refer L, M, N, O, P, Q, R, and S in Table 1 of CMM14a-2022)?
 YES
 NO

Table 12: Total Allowable Catch* (Paras 8, 9e, 9f and 9h)

Authorised Vessel	Exploratory fishing block	Post spawning Catch (Aug - Oct)	Non post-spawning Catch
<i>San Aspiring</i>	L		
	M		
	N		
	O		
	P		
	Q		
	R		
	S		
<i>Janas</i>	L		
	M		
	N		
	O		
	P		
	Q		
	R		
	S		

* 40t max per exploratory research fishing block; overall maximum combined catch limit of 240t/yr; 50% max taken outside Aug to Oct.

Table 13: Total Allowable Catch – Effort Limit* (Paras 9a and 9c)

Exploratory fishing block	Total number of Clusters	Number of Sets
L		
M		
N		
O		
P		
Q		
R		
S		



** Conduct at least 3 clusters for each research block, with each cluster no more than 5 sets.*



Table 14: Management Measures (Para’s 9, 16 and 18)

Protocol	Value	Reference
Other than the 2 vessels named, did any additional vessels fish? (<i>Identify vessel</i>)		Para 13
Number of clusters composed of more than 5 sets		Para 9a
Number of clusters within 10nm of another cluster? (<i>within the same voyage or fishing season</i>)		Para 9b
Number of sets beginning, or ending, deeper than 2,200 m?		Para 9d
Number of sets with more than 6,900 hooks?		Para 9a
Number of clusters with more than 17,250 hooks?		Para 9a
Number of toothfish tagged		Para 16b
Minimum tagging size overlap statistic		Para 16b
Number of occasions where over 250 kg of Deepwater sharks (<i>class Chondrichthyes</i>) were caught within a cluster of sets?		Para 18

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 22: Was there any occasion when any of the mitigation methods described in paragraph 21 a) to i) were not employed as appropriate?

YES *If yes, describe below*
Enter relevant details here

NO

Table 15: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

Paragraph 22 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during setting		Para 22a
Number of Seabird/Marine mammal abundance counts taken during hauling		Para 22a
% of hooks hauled that were observed for incidental captures		Para 22c
Were set and haul operations video recorded with multi-camera EM system		Para 22d
Number of captures of Marine Mammals		Para 22e
Number of captures of Seabirds		Para 22e
Number of captures of Turtles		Para 22e
Number of captures of other Species of Concern		Para 22e
Number (%) of dead birds retained for ID and necropsy		Para 22 f

Monitoring

Para 24: Was a New Zealand government observer onboard during the exploratory fishing?

YES *If yes, answer the following question*

- Was there a dedicated assistant available?
 YES NO

NO

Para 25: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the New Zealand Ministry for Primary Industries?

YES

NO

Para 26: Was the vessel equipped with at least 2 tamperproof ALCs meeting SPRFMO standards for VMS reporting?

YES

NO



CMM 14b-2024 Exploratory Trap Fishery in the SPRFMO Convention Area¹

(This CMM is only applicable to the Cook Islands; all other Members and CNCPs proceed to the next CMM).

This CMM is not applicable to the United States of America

Objectives

Para 1: Did your vessels conduct exploratory trap fishing for lobster and/or crab pursuant to this CMM during your defined fishing year of “01 July 2024 - 30 June 2025” for reporting during this reporting period?

YES

NO *If “no” move to the next CMM*

Details and Specification of Exploratory Fishing Activities (Para 5 and 6)

Para 5: Did fishing for lobster and crab conducted only using the bottom trapping method?

YES *If yes, answer the following question*

- Was it all conducted within the exploratory areas specified in Table 1 of this measure?
 - YES
 - NO

NO *If no, elaborate*

Para 6: Was any fishing carried out in the Kopernik Valley (*as per coordinates in Table 2 of this measure*) below depths of 250 metres?

YES *If yes, elaborate*

NO

Table 16: Details and Specification of Exploratory Fishing Activities (Paras 5, 8 and 10)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 5
The most lines set in a single day		Para 8
The most lines hauled in a single day		Para 8
The most traps used per line on a single day (Lobster fishing)		Para 8
The most traps per line on a single day (Crab fishing below 350m)		Para 8
Was the CPUE limit of 4 Kg per trap applied (and assessed) for the Kopernik Seamount		Para 10
Was the CPUE limit reached, and the Kopernik Seamount closed to lobster fishing		Para 10

Para 9: Did the vessel test alternative trap designs to evaluate gear selectivity?

YES *Enter relevant details here*

NO

Para 11: Did the vessel conduct non-extractive test fishing on the Kopernik Seamount?

YES *Enter relevant details here*

NO

Para 12: Did any fishing trip on the Kopernik Seamount exceed the 20t TAC for *Jasus caveotum*?

YES *Enter relevant details here*

NO

Para 13: Did any lobster fishing occur during the July - September period (including retention onboard of Lobster while targeting other species)?

YES *Enter relevant details here*

NO

¹ The implementation of paragraphs 23-24 (Data collection) falls within CMM 02-2025 and paragraph 30 (VMS) can be found within CMM 06-2023.



Para 14: What was the tonnage of lobster and crab (combined) caught during the fishing year “01 July 2024 to 30 June 2025”? _____
(not exceed 300 tonnes per fishing year)

Para 15: Did the vessel conducting the exploratory fishery provide the Member with weekly reports on its fishing activity?
YES *Enter relevant details here*
NO

Para 17a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?
YES *Enter relevant details here*
NO

Para 18: Did the Member ensure that the fishing vessel authorised had experience working within catch limits and used intensive monitoring of all catch?
YES *Enter relevant details here*
NO

Authorised Vessels

Para 20: Which vessel(s) were authorised and undertook the exploratory trapping?
Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 25: Were all the mitigation methods specified in paragraph 25. (a-d) implemented during the exploratory fishing?
YES
NO *Enter relevant details here*

Para 26: Was the information described in paragraph 26. (a-e) and paragraph 27 relating to marine mammals, seabirds, turtles, other species of concern, and VME encounters collected?
YES
NO *Enter relevant details here*

Monitoring & Data Collection

Para 28: How many observers, appointed by the Cook Islands, were onboard the vessel during the exploratory fishing?
YES *Enter relevant details here*
NO

Para 29: Was there a video monitoring and recording system installed over the hauling position?
YES NO

Was the video footage provided to the Cook Islands Authorities at the end of each voyage?
YES NO

Para 30: was the vessel equipped with tamper proof Automatic Location Communicators that met the standards for SPRFMO VMS reporting (re: CMM 06)?
YES
NO *Enter relevant details here*



CMM 14e-2024 Exploratory Fishing for Toothfish by the European Union in the SPRFMO Convention Area

This CMM is only applicable to the European Union; all other Members and CNCPs proceed to the next CMM.

This CMM is not applicable to the United States of America

Duration, catch and effort limits

Para 6: Did authorised EU vessels engage in exploratory toothfish fishing during the current reporting period from 01 October 2024 to 30 September 2025?

1) 2024 Fishing Season: Fishing in the portion between 01 October – 15 November 2024?
YES NO

2) 2025 Fishing Season: Fishing in the portion between 1 May 2025 and 30 September 2025?
(Note: Activity occurring between 01 October-15 November 2025 will be reported in the next implementation report).
YES NO

Para 5: Did all the exploratory fishing for toothfish occur within the George V Fracture Zone Research Blocks (identified in CMM 14e-2024, Table 1, as GVfz RB-A and RB-B)?

YES

NO *If no, please elaborate*

Table 17: Duration, catch and effort limits

(Given the uniqueness of covering 2 seasons the reported information is separated by fishing season in this report for ease of reference and completion)

2024 Fishing season report (1 October – 15 November 2024)	Value	Reference
Total number consecutive fishing days (Max 60 for 2024)		Para 6
Green weight catches of Toothfish (Max 129t-RB-A; 33t-RB-B)		Para 8
Number of hooks used per single set (Max 5000)		Para 9
Total number of sets per annum (Max 100)		Para 9
Number of times shorter lines used due to approaching quota limit		Para 10
Minimum depth fished (Between 600-2500m)		Para 11
Maximum depth fished (Between 600-2500m)		Para 11
2025 Fishing season report (1 May – 30 September 2025)	Value	Reference
Total number consecutive fishing days (Max 60 for 2025)		Para 6
Green weight catches of Toothfish (Max 129t – RB-A; 33t RB-B)		Para 8
Number of hooks used per single set (Max 5000)		Para 9
Total number of sets per annum (Max 100)		Para 9
Number of times shorter lines used due to approaching quota limit		Para 10
Minimum depth fished (Between 600-2500m)		Para 11
Maximum depth fished (Between 600-2500m)		Para 11

Management Measures

Para 13: Were all hooks uniquely marked indicating the vessel?

YES NO *Comments:*

Authorised Vessels

Para 14: Was the FV *Tronio* used to conduct the exploratory fishing activity?

YES NO



If NO, what was the name of the vessel that was authorised to undertake fishing pursuant to this CMM and when was the Executive Secretary notified?

Table 18: Data collection

2024 Fishing Season report (Oct-Nov)	Value	Reference
Number of toothfish tagged		Para 17
Overlap tagging statistic achieved (%)		Para 18
Were recaptured tagged fish retained?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 19
2025 Fishing Season report (May-Sept)	Value	Reference
Number of tooth fish tagged		Para 17
Overlap tagging statistic achieved (%)		Para 18
Were recaptured tagged fish retained?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 19

Marine Mammals, Seabirds, and other Species of Concern

Para 21: Was there any occasion when any of the mitigation methods described in paragraphs 21 a) to k) were not utilized when appropriate?

YES *If yes, please elaborate*

NO

Table 19: Mitigation measures* (Para 21)

Protocol	Value	Reference
1) Seabird bycatch mitigation measures:		Para 21 a-g
Did the vessel release weights before line tension occurred	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21a
Was offal dumped or discards while fishing lines were being set or hauled	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21b
Were the other discarding protocols followed	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21c,d,e
Were two bird scaring devices deployed when setting lines	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21f
Was at least one bird exclusion device used	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21f
Was the trigger level of 0.01 birds per/1000 hooks exceeded?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21g
2) Seal and Cetacean bycatch mitigation measures:		Para 21h
Were there any seal or cetacean bycatch/entanglement?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21h
If yes, was the one-hour observation period conducted prior to more hauls	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21h
3) Shark, skate and macrourid bycatch mitigation measures:		Para 21 i-k
Was the “move-on” provision relating catches of more than 4 individuals from <i>Somniosidae</i> , <i>Lamnidae</i> , <i>Cetorhinidae</i> or <i>Alopiidae</i> family triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21i
Was the “move-on” provision relating to catches of more than 2 individuals of any one of these families of sharks triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21i
Was the “move-on” provision relating to skate bycatch triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21j
Was the “move-on” provision relating to <i>Macrourus</i> Bycatch triggered?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 21k

**If necessary, add any clarification/explanation of exceptions to mitigation measures here (or add separate attachment):*



Table 20: Data collection for marine mammals, seabirds, and other species of concern (Para 22)

2024 Fishing Season:	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 22a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 22a
% of hooks hauled that were observed for marine mammal, seabird and other sp interactions		Para 22b
Number of captures of dead or moribund Marine Mammals		Para 22c
Number of captures of dead or moribund Seabirds (excluding collisions)		Para 22c
Number of captures of dead or moribund Sharks		Para 22c
Number of captures of dead or moribund Skates		Para 22c
Number of captures of other dead or moribund Species of Concern		Para 22c
Number of Seabird collisions with vessel		Para 22c
Number of Seabirds released alive		Para 22c
Number of dead birds retained formal identification and necropsy		Para 22d
2025 Fishing Season:	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 22a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 22a
% of hooks hauled that were observed for marine mammal, seabird and other sp interactions		Para 22b
Number of captures of dead or moribund Marine Mammals		Para 22c
Number of captures of dead or moribund Seabirds (excluding collisions)		Para 22c
Number of captures of dead or moribund Sharks		Para 22c
Number of captures of dead or moribund Skates		Para 22c
Number of captures of other dead or moribund Species of Concern		Para 22c
Number of Seabird collisions with vessel		Para 22c
Number of Seabirds released alive		Para 22c
Number of dead birds retained formal identification and necropsy		Para 22d

VMEs

Para 23: Was there evidence of any *VME encounter during fishing activities within either Reporting Period?
2024 Fishing Season: YES NO **2025 Fishing Season:** YES NO
**If yes elaborate- reference CMM 03 – Encounters with Potential VME*

Monitoring

Para 24: Was a scientific observer onboard during the exploratory fishing?
2024 Fishing Season:
 YES *if yes, please answer the question below*
 • Was there a dedicated assistant experienced in at-sea scientific data collection onboard?
 YES NO
 NO

2025 Fishing Season:
 YES *if yes, please answer the question below*
 • Was there a dedicated assistant available? YES NO
 NO

Para 27: Did the vessel use a tamper-proof electronic monitoring system (CCTV) to record sensor and video data, overlaid with accurate GPS and timestamp on video records, to monitor all setting and hauling activity?
2024 Fishing Season: YES NO **2025 Fishing Season:** YES NO

Environmental Data Collection

Para 28: Did the vessel record additional environmental data including *in situ* imagery of seabed species and habitats, and CTD (conductivity, temperature, depth) sensors deployed on longlines?
2024 Fishing Season: YES NO **2025 Fishing Season:** YES NO



CMM 14f-2024 Exploratory Fishing for Toothfish by Australia in the SPRFMO Convention Area¹

(This CMM is only applicable to Australia; all other Members and CNCs proceed to the next CMM).

This CMM is not applicable to the United States of America

Did your vessels engage in exploratory toothfish fishing during the reporting period up to 30 September 2025?

YES *If yes, answer the questions below*

NO *If no, move to the next CMM*

Details/Specification/Duration/Effort of Exploratory Fishing

Para 5: Was the exploratory fishing for toothfish conducted within the Macquarie Ridge Continuation Research Block (MRC RB) (coordinates outlined in Table 1 of CMM 14f-2024)?

YES

NO *If no, please explain*

Para 10: Was fishing ceased in 2025 due to:

- the TAC of 40 tonnes (greenweight) being caught?

YES *If yes, please elaborate*

NO

- the bycatch limits described in SC11-DW03_rev3 being reached?

YES *If yes, please elaborate*

NO

Para 7: How many toothfish fishing trips occurred during April, August, September 2025?

Para 8: What was the total catch of Toothfish for all trips combined?

Para 9: What was the maximum number of hooks per line used while fishing?

Para 12: What was the minimum depth fished?

What was the maximum depth fished?

Para 18: Did any vessel other than *FV Antarctic Discovery* undertake exploratory fishing?

If yes provide name and date Secretariat notified:

Management Measures

Para 11: Did the catches approach the Limit? Was it necessary to invoke measures to constrain the retained catch? *(If yes, identify the measures taken.)*

YES

NO

Para 16: Did any line set positions overlap previous line setting positions during that fishing year?

YES *(If yes, elaborate)*

NO

Para 17: Were all lines shall be set to ensure that each set was at least 1 nautical mile from all other sets that fishing year?

YES

¹ The implementation of paragraph 21 (Data collection) can be found within CMM 02-2025 and paragraph 29 (VMS) within CMM 06-2023.



NO (If no, elaborate)

Para 22: Was a minimum tagging size overlap statistic of 60% once 30 or more toothfish have been successfully released with tags achieved? (If yes, what was the overlap statistic achieved?)
 YES
 NO

Marine Mammals, Seabirds, and other Species of Concern

Para 23: Was the information outlined in paragraph 23(a-e) regarding marine mammals, seabirds, turtles, and other species of concern collected as required?
 YES
 NO

Data collection on vulnerable marine ecosystems (VMEs)

Para 24-25: Was the information outlined in paragraphs 24 (re: Bottom Fishing measure CMM 03-2025 and data to assess VME encounters) and additionally the requirements outlined in paragraph 25(a-d), collected as required?
 YES
 NO

Marine Mammals, Seabirds, and other Species of Concern

Para 26: Was there any occasion when any of the mitigation methods described in paragraphs 26(a-g) were not utilized when/where appropriate?
 YES If yes, please elaborate
 NO

Table 21: Mitigation measures* (Para 26)

**If necessary, add any clarification/explanation of exceptions to mitigation measures here (or add separate attachment).*

Protocol	Value	Reference
A) Non-target fish mitigation measures:		Para 26 a
Did precautionary catch limits for all bycatch species meet and exceed CCAMLR CM 33-03 (2023) and SPRFMO CMM 02-2025 (Data Standards) Annex 14?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26a,b
Was the <i>Macrourus</i> spp. limit of 16% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26.a.i
Was the other species limit of 16% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26.a.ii
Did fishing cease due to bycatch limits being reached?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26a
B) Chondrichthyans bycatch mitigation measures:		Para 26b
Were precautionary bycatch limits and gear restrictions, including ban on the use of wire traces, in place?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26b
Was the limit on Skates and rays: 5% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26b.i
Was the limit on other species: 16% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26b.ii
Was there a ban on retention of species listed on CMM 02-2025 Annex 14 in place and respected?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26b.iii
C) Seabird bycatch mitigation measures:		Para 26c
Did mitigation measures meet the requirements of CCAMLR CM 25-02 (2023) and meet and exceed SPRFMO Annex 1 CMM-09 2017	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26c



During Longline fishing operations, were the mitigation measures outlined in 29.c (i-viii) in place and respected?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26c (i-viii)
Where there any issues identified with the mitigation measures?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26c (i-viii)
Mammal bycatch mitigation measures:		Para 26 d-g
D. Did vessel operators avoid interactions with species such as orcas and sperm whales?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26d
E. Did vessels take all reasonable steps to avoid losing any gear or non-biodegradable items from the boat?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26e
F. Were wildlife interaction reports required to be completed and submitted within 24 hours of an interaction with a protected species? (Number of reports received? ____)	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26f
G. Did any mammal interaction trigger an evaluation of fishing strategy and location, including a potential move-on rule?	YES <input type="checkbox"/> NO <input type="checkbox"/>	Para 26g

Monitoring

Para 27: Was a scientific observer onboard during the exploratory fishing?
 YES *If yes, answer the following question*
 • Was there a dedicated assistant experienced in at-sea scientific data collection onboard?
 YES NO
 NO

Para 29: Was the vessel equipped with a tamperproof Automatic Location Communicator that met SPRFMO standards for VMS reporting (as per CMM 06)?
 YES
 NO

Para 30: Was the vessel equipped with a tamper-proof EM system to monitor all setting and hauling activities that recorded sensor and video data overlaid with accurate GPS and timeline stamp on the video record?
 YES
 NO



CMM 14g-2024 Exploratory Hapuka Fishery by the Cook Islands in the SPRFMO Convention Area¹

(This CMM is only applicable to the Cook Islands; all other Members and CNCPs proceed to the next CMM).

This CMM is not applicable to the United States of America

Objectives

Para 1: Did your vessels conduct exploratory dropline and/or jig fishing for *Polyprion oxygeneios* (hapuka) during the defined fishing year “01 July 2024 to 30 June 2025”?

YES

NO *If “no” move to the next CMM*

Details and Specification of Exploratory Fishing Activities (Para 5 and 6)

Para 5: Was all fishing for Hapuka undertaken via dropline and/or jigging methods?

YES *If yes, answer the following question*

- Was all fishing conducted within the exploratory boxed areas specified in Para 5/Table 1?
 - YES
 - NO

NO *If no, elaborate*

Para 6: Was all fishing in the Kopernik Valley (coordinates in Table 2) conducted without:
i) the use of bottom weights and ii) contacting with the seabed?

YES

NO *If no, elaborate*

Para 8: Was the green weight TAC of 500t *Polyprion oxygeneios* or the 100t threshold of retained teleost and cephalopod bycatch reached?

YES

NO *Specify total catch amounts:*

Para 9: Did “per seamount” catches exceed 15t per trip or 25t per year thresholds?

YES *Enter relevant details here*

NO

Para 11: Did the vessel test alternative dropline configurations and jig types to evaluate gear selectivity?

YES *Enter relevant details here*

NO

Table 22: Details and Specification of Exploratory Fishing Activities (Paras 9 and 10)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 9
The most droplines deployed at any time		Para 10
The most hooks used per dropline		Para 10
The most jigging lines deployed at any time		Para 10
The most jigs or hooks used per line while jigging		Para 10
The number of weekly reports provided to the Cook Islands		Para 12
The number of daily reports (after 15t trip limit reached) provided to Cook Islands		Para 12

Para 14: Did catches trigger the closure of fishing for a specific seamount and/or fishing for the year?

¹ The implementation of paragraph 22-23 (Data collection) falls within CMM 02-2025, and paragraph 29 (VMS) can be found within CMM 06-2023.



YES *Enter relevant details here*
NO

Para 14a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?

YES *Enter relevant details here*
NO

Para 15: Did the Member ensure that the fishing vessel authorised had experience working within catch limits and used intensive monitoring of all catch?

YES *Enter relevant details here*
NO

Authorised Vessels

Para 17: Which vessel(s) were authorised and undertook the exploratory fishing?

Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 24: Were all the mitigation methods specified in paragraph 24 (a-d) implemented during the exploratory fishing?

YES
NO *Enter relevant details here*

Para 25: Was the information described in paragraph 25 (a-e) relating to marine mammals, seabirds, turtles, and other species of concern and paragraph 26 related to VME encounters collected?

YES
NO *Enter relevant details here*

Monitoring & Data Collection

Para 27: How many observers appointed by the Cook Islands were onboard the vessel while fishing?

YES *Enter relevant details here*
NO

Para 29: Was there a video monitoring and recording system installed over the hauling position?

YES
NO

Was the footage provided to the Cook Islands Authorities at the end of each voyage?

YES
NO *Enter relevant details here*

Para 30: Was the vessel equipped with tamper proof Automatic Location Communicators that met the SPRFMO standards for VMS reporting (as per CMM 06)?

YES
NO *Enter relevant details here*



CMM 14h-2022 Exploratory Fishing for Toothfish by Korean-Flagged Vessel in the SPRFMO Convention Area

This **new** CMM is only applicable to Korea; all other Members and CNCPs proceed to the next CMM.
(This reporting period covers April – September 2025)

This CMM is not applicable to the United States of America

Details and Specification of Exploratory Fishing Activities

Para 5:
How many exploratory toothfish fishing trips occurred in total during 2025? _____
NIL If “nil” then skip to the next CMM

Did all the exploratory fishing for toothfish conducted within the eight exploratory fishing research blocks (refer to as L, M, N, O, P, Q, R, and S in Table 1 of CMM14h-2025)?
YES
NO Comments:

Table 23: Total Allowable Catch* (Paras 7, 11)

Authorised Vessel	Exploratory fishing block	Patagonian Toothfish Catch	Antarctic Toothfish Catch
Greenstar	L		
	M		
	N		
	O		
	P		
	Q		
	R		
	S		
	TOTAL CATCH:		

Para 11: Alternative Vessels (if applicable, complete the catch info as per above)
Identify any alternate vessel used?
Date Secretariat was notified of alternative vessel?
Comments:

* 2025 Annual Toothfish total allowable catch = 240tonnes/year (greenweight); 40tonnes max per strata (both species combined).

Table 24: Total Allowable Catch – Effort Limit* (Para 8)

Exploratory fishing block	Total number of Clusters	Total Number Hooks/Cluster	Total Number of Sets per Cluster	Total Number of Hooks per Set
L				
M				
N				
O				
P				
Q				
R				
S				

* Research fishing sets will be deployed in a cluster composed of up to 5 sets, each containing up to 6,900 hooks. Clusters will be no more than 5 sets, with a maximum of 6,900 hooks for any set, and no more than 17,250 hooks per cluster.



Table 25: Management Measures Summary (Para 8, 14 and 18)

Protocol	Value	Reference
Number of clusters composed of more than 5 sets?		Para 8
Number of clusters within 10nm of another cluster already set within a voyage or a fishing season?		Para 8
Number of sets with more than 6,900 hooks?		Para 8
Number of clusters with more than 17,250 hooks?		Para 8
Number of toothfish tagged (Patagonian Toothfish / Antarctic Toothfish)	/	Para 14b
Greenweight (tonnes) of toothfish retained (Patagonian / Antarctic)	/	Para 14b
Minimum tagging size overlap statistic (using CCAMLR calculator)		Para 14b
Was standardised trotline bottom longline gear used for all fishing?		Para 15
Number of times 250+ kg of Deepwater sharks (class <i>Chondrichthyes</i>) where caught within a cluster?		Para 16

Para 14.b: Minimum tagging rate = 3 fish of each Dissostichus species per tonne (greenweight) of retained catch. Also, a minimum tagging size overlap statistic of at least 60% (use CCAMLR calculator) once 30 or more Dissostichus species have tagged/released.

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 19: Was there any occasion when any of the mitigation methods described in paragraph 19 a) to h) were not employed as appropriate?

YES *If yes, describe below*
Enter relevant details here

NO

Table 26: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 20)

Paragraph 20 (information collected)	Value	Reference
Number of Seabird and Marine mammal abundance counts taken by observer during setting		Para 20a
Number of Seabird and Marine mammal abundance counts taken by observer during hauling		Para 20a
% of hooks hauled that were observed by the observer for incidental captures		Para 20c
Number of captures of Marine Mammals		Para 20d
Number of captures of Seabirds		Para 20d
Number of captures of Turtles		Para 20d
Number of captures of other Species of Concern		Para 20d
Number of dead birds retained for ID and necropsy		Para 20e

Monitoring (Para 22-24)

Para 22: Were there 2 observers onboard during the exploratory fishing?

YES *If yes, answer the following question*

- Was there a dedicated assistant experienced in at-sea scientific data collection onboard to assist the observers with biological measurement and data collection?

YES NO

NO

Para 24: Was the vessel equipped with several tamperproof Automatic Location Communicators that met the SPRFMO VMS standards (as per CMM 06)?

YES

NO



CMM 14i-2025 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

This **new** CMM is only applicable to New Zealand; all other Members and CNCPs proceed to the next CMM.
(Unless otherwise indicated this report covers the inclusive period April – September 2025)

This CMM is not applicable to the United States of America

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred in total during 2025*? _____
 How many trips occurred during the spawning period (estimated to be July and August)? _____
Enter relevant details here
 NIL *If “zero” fishing trips up to the end of the reporting period then skip to the next CMM

Para 5: Was the exploratory fishing for toothfish all conducted within the exploratory fishing research blocks NZSWRB1 and Research blocks “A – H” (refer to Figure 1 of CMM14i-2025)?
 YES
 NO

Table 27: Total Allowable Catch* (Paras 8, 9.g,h, 13)

Authorised Vessel	Exploratory fishing area	Pre-spawning Catch (Jan-Jun)	Post-spawning Catch (Sep-Dec)
<i>San Aspiring (Sanford Ltd)</i>	NZSWRB1		
	RB - A		
	RB - B		
	RB - C		
	RB - D		
	RB - E		
	RB - G		
	RB - H		
<i>San Aotea II (Sanford Ltd)</i>	NZSWRB1		
	RB - A		
	RB - B		
	RB - C		
	RB - D		
	RB - E		
	RB - F		
	RB - G		
<i>Janas (Talleys Grp Ltd)</i>	NZSWRB1		
	RB - A		
	RB - B		
	RB - C		
	RB - D		
	RB - E		
	RB - F		
	RB - G		
RB - H			

Alternative Vessels (if applicable, complete the catch info as per above)
 Identify any alternate vessel used?
 Date Secretariat was notified of alternative vessel?
Comments:

* Overall maximum = 290 tonnes each year; Individual research block catch limits = 50 tonnes each year; Survey a minimum of 3 research blocks



Table 28: Total Allowable Catch – Effort Limit* (Paras 9.b,e,g)

Exploratory fishing block	Total number of Clusters Fished	Number of Hooks per Cluster
NZSWRB1		
RB - A		
RB - B		
RB - C		
RB - D		
RB - E		
RB - F		
RB - G		
RB - H		

* Conduct survey in 3 research blocks (RBs) with at least 3 clusters for each RB using no more than 20,700 hooks per cluster.

Table 29: Management Measures Summary (Para's 9, 10, 16 and 18)

Protocol	Value	Reference
Number of clusters that exceeded 20,700 hooks set?		Para 9b
Number of clusters within 5nm of another cluster in any calendar year?		Para 9c
Number of clusters fished more than once during a calendar year?		Para 9d
Number of RBs surveyed where less than 3 clusters were fished?		Para 9e
Number of clusters fished in waters depths less than 600 m?		Para 9f
Number of RBs where catch exceeded the 50 tonnes in a calendar year?		Para 9g
Number of RBs where fishing ceased due to catch limits being reached?		Para 10
Did the maximum combined annual catch exceed of 290 tonnes greenweight?		Para 9h
Number of toothfish tagged (Patagonian Toothfish / Antarctic Toothfish)	/	Para 16b
Greenweight (tonnes) of toothfish retained (Patagonian / Antarctic)	/	Para 16b
Minimum tagging size overlap statistic		Para 16 c
Total Number of Large Sharks caught as Bycatch?		Para 18
Number of sharks fitted with pop-up transmitting tags and released alive?		Para 18
Number of sharks identified and released alive without tags?		Para 18
Number of sharks deceased and brought ashore?		Para 18
Number of sharks deceased and discarded at sea?		Para 18

Note: Minimum tagging rates: Patagonian toothfish=3 fish/greenweight tonne retained (Antarctic toothfish=1 fish/tonne retained). A minimum tagging size overlap statistic of 60% (calculated for each species separately using the CCAMLR tag-overlap statistic calculator) will be implemented, once 30 toothfish have been successfully released with tags.

Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 21)

Para 21: Was there any occasion when any of the mitigation methods described in paragraph 21 a) to i) were not employed as appropriate?

YES If yes, describe below
Enter relevant details here

NO

Table 30: Information Collected – Species of Concern (Para 22)

Paragraph 22 (information collected)	Value	Reference
Number of Seabird and Marine mammal abundance counts taken by observers during setting		Para 22a
% of hooks hauled that were observed by the observer for incidental captures		Para 22c
Were set and haul operations recorded with multi-camera EM system		Para 22d
Number of captures of Marine Mammals		Para 22e
Number of captures of Seabirds		Para 22e
Number of captures of Turtles		Para 22e
Number of captures of other Species of Concern		Para 22e
Number of caught or colliding birds photographed/IDed (# alive / # dead)	/	Para 22e



Monitoring (Para 24-26)

Para 24: Was an observer onboard during the exploratory fishing?

YES *If yes, answer the following question*

- Was there a dedicated assistant onboard as well?

YES NO

NO

Para 25: Were the participating vessels equipped with a video monitoring and recording system located over the hauling position?

YES *If yes, answer the following question*

Was all the recorded footage provided to the New Zealand Ministry for Primary Industries at the end of the voyage?

YES NO

NO

Para 26: Were the participating vessels equipped with at least 2 tamperproof Automatic Location Communicators meeting SPRFMO standards for VMS reporting (as per CMM 06)?

YES

NO

CMM 15-2016 Vessels Without Nationality in SPRFMO

(Non-mandatory: Members, CNCPs, and non-parties are encouraged to take the following measures or actions.)

Response: Under the Port State Measures Agreement Act of 2015, the United States prohibits the use of its ports by vessels without nationality (see 16 U.S.C. 7404(c)).

Para 2: During the reporting period did you share any information about the activities of vessels without nationality, and/or where appropriate, enact domestic legislation, to prevent and deter vessels without nationality from engaging in fishing or fishing related activities in the Convention Area?

YES *If yes, please provide additional details*

Enter relevant details here

NO

Para 3: During the reporting period did you take any actions, in accordance with international law, against vessels without nationality? (e.g. by prohibiting landing, transshipment or access to port services).

YES *If yes, please provide additional details*

Enter relevant details here

NO





CMM 16-2025 the SPRFMO Observer Programme¹

During the reporting period, the United States of America did not have any vessels participating in SPRFMO managed fisheries.

Observer Program Accreditation *(Information Collection)*

Do you currently have an accredited SPRFMO Observer Programme (*i.e., the observer program has been evaluated by the Accreditation Evaluator and the Commission has granted accreditation*)?

- YES *If applicable, continue to General Rules Section below*
NO *If NO respond to Paragraph 24*

Evaluation of observer programmes *(Information Collection)*

Para 24: Do you intend to pursue accreditation under the SPRFMO OP?

- YES *If yes, please indicate when you intend to begin the accreditation process?*
 2025 (*We are currently being assessed by the SPRFMO OP Accreditation Evaluator*)
 2026 (*Note: From 1 January 2027 Members and CNCPs shall only deploy observers from national observer programmes or service providers accredited under the SPRFMO.*)
 Other timeframe (*please specify*): ____
- NO **We have no plans to deploy observers in the SPRFMO Area*

**Comments:*

NOTE: Complete the following sections if you had vessels fishing for fisheries resources (including exploratory) in the Convention Area during the reporting period for which a minimum level of observer coverage applies (Para 3), otherwise proceed to the next CMM.

General Rules

Para 2: Did you deploy any observers on vessels in the Convention Area (to facilitate the collection of verified scientific data and additional information related to fishing activities and its impacts on the ecosystem)?

- YES
NO *If NO, Skip to the next CMM; no further information required in this section*

Para 6: Have you ensured that that observers from your national observer programmes or alternative programme have been granted their rights and perform their duties as set out in Annex 1 of the CMM?

- YES
NO

Para 7: Have you ensured that your owners and fishing operators, vessel captains, officers, and vessel crews:

- respect the rights of observers set out in Annex 1 (or 1a as applicable to GIS vessels < 15m) of the CMM?
YES
NO
- comply with the standards and duties set out in Annex 2 (or 1a as applicable to GIS vessels < 15m) of the CMM?
YES
NO

¹ The implementation of paragraphs 3 (Observer coverage) and 13 (Levels of coverage) are within the relevant fishery CMM.

The implementation paragraph 45 (Data collection) can be found within CMM 02-2025

The implementation of paragraph 8 (independent and impartial observers) and paragraphs 24-44 (Evaluation of observer programmes) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator





Deployment of Observers

Para 10: Were the observers deployed sourced from a 1) national observer programmes¹ or 2) SPRFMO accredited programmes?
Specify:

Para 11: Did you deploy any observers on board vessels flagged to another Member or CNCP?
YES *If yes, please list the others Members/CNCPs involved*
Enter relevant details here
NO

Para 12: Did any of your observers refuse a deployment onboard a SPRFMO authorized fishing vessel?
YES *If yes, specify reason and whether documentation was provided to the Secretariat:*
NO

Levels of Coverage

Para 14: Did any of your scientific research vessels fish for research purposes in the Convention Area?
YES *If yes, please answer the question below*

- Did the scientific personnel collect and provide the information specified in Annex 7 of CMM 02-2025 (Data Standards) in the manner set forth in that CMM?
YES NO

NO

Reporting

Para 16 & 45: Did you include a brief overview of your national observer programmes (or service providers) as a component of your Annual National Report submitted to the Scientific Committee?
YES *If yes, please answer the question below*

- Did you document the methods used to allocate observers on fishing vessels to meet observer coverage requirements?
YES NO

NO

¹ Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2026.



CMM 17-2022 Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area

During the reporting period, the United States of America did not have any vessels participating in SPRFMO managed fisheries.

Applicability

Did any of your flagged vessels operate in the SPRFMO Convention Area during the reporting period?

YES

NO *If no, skip to the next CMM*

Retrieval of Abandoned, Lost or Otherwise Discarded Fishing Gear

Para 1: Did you ensure that paragraphs 1a to 1f are implemented by your vessels:

Enter relevant details here

Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?

YES *Number of Notifications submitted: _____*

NO

Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?

YES *Number of Notifications submitted: _____*

NO

Marine Plastic Pollution

Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastics?

YES

NO

Enter relevant details here

Other Marine Pollution *(Note: Non-Mandatory provisions)*

Para 6: Have you implemented appropriate onboard storage and prohibited your fishing vessels operating within the SPRFMO Convention Area from discharging: oil or fuel products or oily residues into the sea; other garbage, including fishing gear, food waste, domestic waste, incinerator ashes and cooking oil; and sewage, except as would be permitted under applicable international instruments.

YES

NO

Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?

YES

NO

Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?

YES *If yes, continue to answer question below*

- Was information derived from these efforts submitted to the SPRFMO Scientific Committee and/or the Compliance and Technical Committee?

YES NO

NO



Para 9: Have you conducted training and awareness programmes for the crew and master of fishing vessels flying your flag regarding the impacts of marine pollution and operational practices to eliminate marine pollution caused by fishing vessels?

YES

NO

CMM 18-2025 Management of the Jumbo Flying Squid Fishery in the SPRFMO Convention Area¹

During the reporting period, the United States of America did not have any vessels participating in SPRFMO managed fisheries.

General Provisions

Para 1: Did your flagged vessels engage in, or intend to engage in, the *Dosidicus gigas* (jumbo flying squid) fishery in the Convention Area? (Includes catcher and carrier vessels)

YES If yes, complete the following tables and questions on this CMM

NO If no, skip to the next CMM

Para 2: Did any of your flagged vessels engage in the *Dosidicus gigas* fishery in the Convention Area without being duly authorised pursuant to Article 25 of the Convention and included on the Commission Record of Vessels?

YES If yes, please provide details

NO

Management of Jumbo Flying Squid

Para 3: (Only applies to China, Korea and Chinese Taipei)

Were the vessel numbers and/or gross tonnage limitations set out in Table 1 of the measure exceeded? (Elaborate as necessary)

YES

NO

(Note: Para 4, 5, and 6 are not applicable to Coastal States)

Para 4: (Members with no squid vsIs on RoV as of 31-12-2020 but have a historic Record fishing GIS)

Did you provide the Executive Secretary an historic record of GIS fishing in the Convention Area? (Elaborate as necessary)

YES

NO

Para 5: (Members with no squid vsIs on RoV as of 31-12-2020 but have a historic Record fishing GIS)

Did you develop a jumbo flying squid jigging fishery during the reporting period? (Elaborate as necessary)

YES

NO

Para 6: (Members with no squid vsIs on RoV as of 31-12-2020 and do **not** have a historic Record fishing GIS)

¹ The questions for this CMM are specific to the Jumbo Flying Squid fishery. The implementation of the following paragraphs can also be found more broadly (all species/activities) within the corresponding CMMs: paragraphs 2 (vessel duly authorised) and 12 (active vessels)-Reference: CMM 05-2023; paragraphs 9 (Data collection) and 14 (annual reports)-Reference: CMM 02-2025; and paragraph 11 (VMS) Reference: CMM 06-2023.



Did you submit a proposal to the Scientific Committee at least 90 days in advance of the next Scientific Committee meeting? *(Elaborate as necessary)*

YES

NO

Para 7: *(Developing Coastal States – Applicable to Cook Islands, Panama, Ecuador, Chile, Peru, and Vanuatu)*

Did you develop a GIS fishery in the Convention Area and provide notification of the number of vessels and gross tonnage involved? *(Elaborate as necessary)*

YES

NO

Data Collection and Reporting

Para 9: Have you collected, verified, and provided all required data to the Executive Secretary in accordance with CMM 02-2025 (Data standards) using the appropriate templates?

YES

NO *If no, please explain*

Monitoring and Control

Para 12: Have you implemented the Commission VMS requirements (CMM 06-2023) for all your flagged vessels engaged in the *Dosidicus gigas* fishery?

YES

NO *If no, please explain*

Para 13: *(2 components)*

Have you provided the Executive Secretary a list of vessels **authorised to fish** in the *Dosidicus gigas* fishery?

YES

NO

Have you notified the Executive Secretary of the vessels that have **actively fished** or **engaged in transshipment** in the *Dosidicus gigas* fishery in the Convention Area within 30 days of the end of the calendar year?

YES

NO

Scientific Committee Reports

Para 15: Did you submit your annual report including your *Dosidicus gigas* fishery information to the Executive Secretary at least one month before the most recent Scientific Committee meeting?

YES

NO *If no, please explain*

Para 16: Did you conduct any research or stock assessments for *D. gigas* during the reporting period?

YES *If yes, please answer the question below*

- Was it submitted to the Scientific Committee?

YES NO

Enter relevant details here



NO



Observer coverage

Table 31: Observer Coverage- Jumbo Flying Squid Jigging Vessels (Para 17)

<p>Para 17: Indicate the level of observer coverage on Squid Jigging vessels during the 2024-25 reporting period? <i>(Target 5% of fishing days but with exclusion for those in the 2022 fishery who did not meet 5% to achieve 2% by Sept 2025)</i></p>
<p>Percentage of fishing days observed (Oct 2024-Sept 2025)</p>
<p>Comments:</p>

Jumbo flying squid vessels less than 15m *(New requirement adopted Feb 2025; binding from May 2025)*

<p>Para 11: For the period May - September 2025, did your artisanal vessels, less than 15 m in length, obtain the minimum coverage as outlined in Para 11 a-c (referenced below*)? YES <input type="checkbox"/> NO <input type="checkbox"/> <i>If no, please elaborate</i></p>

*Para 11.

a. 5% of the trips of the artisanal fishing vessels involved, through observers on board, who will provide detailed information on the catch, fishing areas, fishing effort and biometric and biological data of what is caught; and

b. 80% of the landings (and trips) of the artisanal fishing vessels involved, through observers in port, who will provide information on the catch, the fishing areas and fishing effort; and

c. 90% of the trips of the artisanal fishing vessels involved, through an information system using a traceability application running on portable smartphones, with which fishermen will provide in real-time all relevant information on their fishing trip, including on their catch, fishing areas and fishing effort.

Special Requirements of Developing States

<p>Para 20: Did you provide financial, scientific and/or technical assistance to enhance the ability of developing States and territories and possessions to implement this CMM? YES <input type="checkbox"/> <i>If yes, please describe the assistance</i> NO <input type="checkbox"/></p>
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CMM 19-2021 Marking and Identification of Fishing Vessels

During the reporting period, the United States of America did not have any vessels participating in SPRFMO managed fisheries.

Did you have vessels, flying your flag and authorized on the SPRFMO Record of Vessels, operating in the Convention Area during the Reporting period?

YES

NO

Complete the following questions only if you had vessels operating in the Convention Area:

Para 1: Were your authorised vessels, operating in the Convention Area, marked in such a way that they could be readily identified, with markings prominently displayed at all times consistent with the specifications as outlined in Para 1 including: 1) that the vessel's name and International Radio Call Sign (IRCS) is marked on the vessel's hull or superstructure (port and starboard) and, 2) the vessel's IRCS is marked on a deck (which is any horizontal plane including the top of the wheelhouse).

YES Partial

NO *Please elaborate if response is partial or no.*

Para 2: Was the placement of the markings as high as possible above the waterline on both sides and placed so as not to be obscured in part or in full by the fishing gear (stowed or in use) and not to extend below the waterline at any time?

YES

NO *If no, elaborate.*

Para 3:

Did the vessel markings for the international Radio Call Sign (IRCS) meet the technical specifications outlined in Paragraph 3, points a) through l) inclusive?

YES

NO *If no, elaborate.*

Para 4: Have you ensured that your authorised vessels only have external markings as identified and permitted in this CMM?

YES

NO *If no, please explain*

Para 5: Have you provided the marking and identification information for your authorised vessels to the Executive Secretary as part of the information required for the Commission Record of Fishing Vessels under CMM 05-2023 (Record of Vessels)?

YES

NO *If no, please explain*

APPRECIATE IF YOUR COMPLETED IMPLEMENTATION REPORT IS RECEIVED BY:
WEDNESDAY, 05 NOVEMBER 2025.



THANK-YOU!